

SPIE Optical Systems Design 2018, Frankfurt, Germany

## Physical-Optics Modeling for Optical Components Made out of Birefringent Materials

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#### **Motivation**



- Optical birefringence / anisotropy is a natural properties of many crystal materials, and it also appear as an induced effect from e.g. stress.
- Such effects gives the possibility to control and manipulate light according to the polarization, which is of importance in modern optical research and applications.

#### **Fundamentals – Field in Anisotropic Media**

Maxwell's equations in homogeneous anisotropic media

 $\nabla \times \boldsymbol{E}(\boldsymbol{r}, \omega) = i\omega\mu_0\underline{\mu}(\omega)\boldsymbol{H}(\boldsymbol{r}, \omega)$  $\nabla \times \boldsymbol{H}(\boldsymbol{r}, \omega) = -i\omega\epsilon_0\underline{\boldsymbol{\epsilon}}(\omega)\boldsymbol{E}(\boldsymbol{r}, \omega)$ 

permittivity and permeability tensors in 3×3 matrix form

→ valid for general anisotropy!

• Explicit expression in spatial domain (*x*-domain)

 $\begin{aligned} \partial_{y}H_{z}(\mathbf{r}) &- \partial_{z}H_{y}(\mathbf{r}) = -ik_{0}\left(\epsilon_{11}E_{x}(\mathbf{r}) + \epsilon_{12}E_{y}(\mathbf{r}) + \epsilon_{13}E_{z}(\mathbf{r})\right), \\ \partial_{z}H_{x}(\mathbf{r}) &- \partial_{x}H_{z}(\mathbf{r}) = -ik_{0}\left(\epsilon_{21}E_{x}(\mathbf{r}) + \epsilon_{22}E_{y}(\mathbf{r}) + \epsilon_{23}E_{z}(\mathbf{r})\right), \\ \partial_{x}H_{y}(\mathbf{r}) &- \partial_{y}H_{x}(\mathbf{r}) = -ik_{0}\left(\epsilon_{31}E_{x}(\mathbf{r}) + \epsilon_{32}E_{y}(\mathbf{r}) + \epsilon_{33}E_{z}(\mathbf{r})\right), \\ \partial_{y}E_{z}(\mathbf{r}) &- \partial_{z}E_{y}(\mathbf{r}) = ik_{0}\left(\mu_{11}H_{x}(\mathbf{r}) + \mu_{12}H_{y}(\mathbf{r}) + \mu_{13}H_{z}(\mathbf{r})\right), \\ \partial_{z}E_{x}(\mathbf{r}) &- \partial_{x}E_{z}(\mathbf{r}) = ik_{0}\left(\mu_{21}H_{x}(\mathbf{r}) + \mu_{22}H_{y}(\mathbf{r}) + \mu_{23}H_{z}(\mathbf{r})\right), \\ \partial_{x}E_{y}(\mathbf{r}) &- \partial_{y}E_{x}(\mathbf{r}) = ik_{0}\left(\mu_{31}H_{x}(\mathbf{r}) + \mu_{32}H_{y}(\mathbf{r}) + \mu_{33}H_{z}(\mathbf{r})\right). \end{aligned}$ 

Using Fourier transform to obtain the expression in the conjugated domain

 $\tilde{V}(\boldsymbol{\kappa}, z) = \mathcal{F}_k V(\boldsymbol{\rho}, z)$ 

### Fundamentals – Field in Anisotropic Media

• Maxwell's equations in homogeneous anisotropic media

 $\nabla \times E(\mathbf{r}, \omega) = i\omega\mu_0\underline{\mu}(\omega)H(\mathbf{r}, \omega)$  permittivity and permeability  $\nabla \times H(\mathbf{r}, \omega) = -i\omega\epsilon_0\underline{\epsilon}(\omega)E(\mathbf{r}, \omega)$  tensors in 3×3 matrix form

→ valid for general anisotropy!

• Explicit expression in spatial frequency domain (*k*-domain)

$$\begin{split} \mathrm{i} k_y \tilde{H}_z(\kappa, z) &- \mathrm{d}_z \tilde{H}_y(\kappa, z) = -\mathrm{i} k_0 \left( \epsilon_{11} \tilde{E}_x(\kappa, z) + \epsilon_{12} \tilde{E}_y(\kappa, z) + \epsilon_{13} \tilde{E}_z(\kappa, z) \right) ,\\ \mathrm{d}_z \tilde{H}_x(\kappa, z) &- \mathrm{i} k_x \tilde{H}_z(\kappa, z) = -\mathrm{i} k_0 \left( \epsilon_{21} \tilde{E}_x(\kappa, z) + \epsilon_{22} \tilde{E}_y(\kappa, z) + \epsilon_{23} \tilde{E}_z(\kappa, z) \right) ,\\ \mathrm{i} k_x \tilde{H}_y(\kappa, z) &- \mathrm{i} k_y \tilde{H}_x(\kappa, z) = -\mathrm{i} k_0 \left( \epsilon_{31} \tilde{E}_x(\kappa, z) + \epsilon_{32} \tilde{E}_y(\kappa, z) + \epsilon_{33} \tilde{E}_z(\kappa, z) \right) ,\\ \mathrm{i} k_y \tilde{E}_z(\kappa, z) &- \mathrm{d}_z \tilde{E}_y(\kappa, z) = \mathrm{i} k_0 \left( \mu_{11} \tilde{H}_x(\kappa, z) + \mu_{12} \tilde{H}_y(\kappa, z) + \mu_{13} \tilde{H}_z(\kappa, z) \right) ,\\ \mathrm{d}_z \tilde{E}_x(\kappa, z) &- \mathrm{i} k_x \tilde{E}_z(\kappa, z) = \mathrm{i} k_0 \left( \mu_{21} \tilde{H}_x(\kappa, z) + \mu_{22} \tilde{H}_y(\kappa, z) + \mu_{23} \tilde{H}_z(\kappa, z) \right) ,\\ \mathrm{i} k_x \tilde{E}_y(\kappa, z) &- \mathrm{i} k_y \tilde{E}_x(\kappa, z) = \mathrm{i} k_0 \left( \mu_{31} \tilde{H}_x(\kappa, z) + \mu_{32} \tilde{H}_y(\kappa, z) + \mu_{33} \tilde{H}_z(\kappa, z) \right) . \end{split}$$

## Fundamentals – Field in Anisotropic Media

• Eigenvalue problem

$$\frac{\mathrm{d}}{\mathrm{d}z} \begin{pmatrix} \tilde{E}_x \\ \tilde{E}_y \\ \tilde{H}_x \\ \tilde{H}_y \end{pmatrix} = \mathrm{i}k_0 \begin{pmatrix} \tilde{\Omega}_{11} & \tilde{\Omega}_{12} & \tilde{\Omega}_{13} & \tilde{\Omega}_{14} \\ \tilde{\Omega}_{21} & \tilde{\Omega}_{22} & \tilde{\Omega}_{23} & \tilde{\Omega}_{24} \\ \tilde{\Omega}_{31} & \tilde{\Omega}_{32} & \tilde{\Omega}_{33} & \tilde{\Omega}_{34} \\ \tilde{\Omega}_{41} & \tilde{\Omega}_{42} & \tilde{\Omega}_{43} & \tilde{\Omega}_{44} \end{pmatrix} \begin{pmatrix} \tilde{E}_x \\ \tilde{E}_y \\ \tilde{H}_x \\ \tilde{H}_y \end{pmatrix}$$

• Field solutions in anisotropic media

Electromagnetic field properties in anisotropic media are carried in the modes.



### **Field Tracing Concept**



### **Numerical Implementation**

- The corresponding field tracing operators for components made out of anisotropic media are implemented in the physical optics simulation and design software VirtualLab Fusion, by using its programming interface.
- Several simulation examples can be downloaded from <u>www.LightTrans.com</u>
- Visit the LightTrans at OPTATEC booth G63 for more information.



LiNbO<sub>3</sub> crystal, at different depths 8.76 mm 13.91 mm d input field plane wave o.a. wavelength 633nm diameter 4mm linearly polarized along x direction х crystal *n*<sub>o</sub>=2.300 (LiNbO<sub>3</sub>) aperture n\_=2.208 lens  $\overline{Z}$ (diameter 2mm)

observe focused field distributions inside

Reference: [Jain 2009] Jain et al., J. Opt. Soc. Am. A 26, 691-698 (2009)















# More Examples ...

• Wollaston prism



position (2)



Stress-birefringence





• Walk-off effect



• Uniaxial crystal model for polarizer



 Uniaxial crystal with optic axis along the x-axis

$$\underline{\boldsymbol{\epsilon}} = \left( \begin{array}{ccc} \boldsymbol{\epsilon}_{\mathbf{e}} & \boldsymbol{0} & \boldsymbol{0} \\ \boldsymbol{0} & \boldsymbol{\epsilon}_{\mathbf{o}} & \boldsymbol{0} \\ \boldsymbol{0} & \boldsymbol{0} & \boldsymbol{\epsilon}_{\mathbf{o}} \end{array} \right)$$

• Field solution inside polarizer / uniaxial crystal plate

$$\begin{pmatrix} \tilde{E}_{x}(\boldsymbol{\kappa},z) \\ \tilde{E}_{y}(\boldsymbol{\kappa},z) \\ \tilde{H}_{x}(\boldsymbol{\kappa},z) \\ \tilde{H}_{y}(\boldsymbol{\kappa},z) \end{pmatrix} = \begin{pmatrix} 1 \\ \tilde{W}_{B} \\ 0 \\ \tilde{W}_{C} \end{pmatrix} \begin{bmatrix} 0 \\ \tilde{W}_{D} \\ 1 \\ \tilde{W}_{B} \\ 0 \\ -\tilde{W}_{C} \end{bmatrix} \begin{bmatrix} 0 \\ -\tilde{W}_{D} \\ 1 \\ \tilde{W}_{B} \\ 0 \\ -\tilde{W}_{C} \end{bmatrix} \end{pmatrix} \begin{pmatrix} \tilde{C}_{+}^{\mathrm{TM}} \exp(\tilde{\gamma}^{\mathrm{TM}}z) \\ \tilde{C}_{+}^{\mathrm{TE}} \exp(\tilde{\gamma}^{\mathrm{TE}}z) \\ \tilde{C}_{-}^{\mathrm{TM}} \exp(-\tilde{\gamma}^{\mathrm{TM}}z) \\ \tilde{C}_{-}^{\mathrm{TM}} \exp(-\tilde{\gamma}^{\mathrm{TM}}z) \\ C_{-}^{\mathrm{TE}} \exp(-\tilde{\gamma}^{\mathrm{TE}}z) \end{pmatrix}$$

transverse electric (TE)

with 
$$\tilde{\gamma}^{\text{TM}}(\kappa) = ik_0\sqrt{\epsilon_{\text{e}} - n_y^2 - n_x^2\epsilon_{\text{e}}/\epsilon_{\text{o}}}, \quad \tilde{\gamma}^{\text{TE}}(\kappa) = ik_0\sqrt{\epsilon_{\text{o}} - n_x^2 - n_y^2}$$

• B-operator for idealized polarizer, with respect to modes

$$\begin{pmatrix} \tilde{C}_{+}^{\text{out,TM}}(\boldsymbol{\kappa}, z^{\text{out}}) \\ \tilde{C}_{+}^{\text{out,TE}}(\boldsymbol{\kappa}, z^{\text{out}}) \end{pmatrix} = \begin{pmatrix} 0 & 0 \\ 0 & 1 \end{pmatrix} \begin{pmatrix} \tilde{C}_{+}^{\text{in,TM}}(\boldsymbol{\kappa}, z^{\text{in}}) \\ \tilde{C}_{+}^{\text{in,TE}}(\boldsymbol{\kappa}, z^{\text{in}}) \end{pmatrix}$$

• Field solution inside polarizer / uniaxial crystal plate

$$\begin{pmatrix} \tilde{E}_{x}(\boldsymbol{\kappa},z) \\ \tilde{E}_{y}(\boldsymbol{\kappa},z) \\ \tilde{H}_{x}(\boldsymbol{\kappa},z) \\ \tilde{H}_{y}(\boldsymbol{\kappa},z) \end{pmatrix} = \begin{pmatrix} 1 \\ \tilde{W}_{B} \\ 0 \\ \tilde{W}_{C} \end{pmatrix} \begin{pmatrix} 0 \\ \tilde{W}_{D} \\ 1 \\ \tilde{W}_{B} \\ 0 \\ -\tilde{W}_{C} \end{pmatrix} \begin{pmatrix} 0 \\ -\tilde{W}_{D} \\ 1 \\ \tilde{W}_{B} \\ 0 \\ -\tilde{W}_{C} \end{pmatrix} \begin{pmatrix} \tilde{C}_{+}^{\mathrm{TM}} \exp(\tilde{\gamma}^{\mathrm{TM}}z) \\ \tilde{C}_{+}^{\mathrm{TE}} \exp(\tilde{\gamma}^{\mathrm{TE}}z) \\ \tilde{C}_{-}^{\mathrm{TM}} \exp(-\tilde{\gamma}^{\mathrm{TM}}z) \\ \tilde{C}_{-}^{\mathrm{TE}} \exp(-\tilde{\gamma}^{\mathrm{TE}}z) \end{pmatrix}$$

transverse electric (TE)

with 
$$\tilde{\gamma}^{\text{TM}}(\kappa) = ik_0\sqrt{\epsilon_e - n_y^2 - n_x^2\epsilon_e/\epsilon_o}$$
,  $\tilde{\gamma}^{\text{TE}}(\kappa) = ik_0\sqrt{\epsilon_o - n_x^2 - n_y^2}$ 

• B-operator for idealized polarizer, with respect to field components

$$\begin{pmatrix} \tilde{E}_x^{\text{out}}(\boldsymbol{\kappa}, z^{\text{out}}) \\ \tilde{E}_y^{\text{out}}(\boldsymbol{\kappa}, z^{\text{out}}) \end{pmatrix} = \begin{pmatrix} 0 & 0 \\ -\tilde{W}_B^{\text{iso}}(\boldsymbol{\kappa}) & 1 \end{pmatrix} \begin{pmatrix} \tilde{E}_x^{\text{in}}(\boldsymbol{\kappa}, z^{\text{in}}) \\ \tilde{E}_y^{\text{in}}(\boldsymbol{\kappa}, z^{\text{in}}) \end{pmatrix}$$

$$\widetilde{W}_{B}^{\text{iso}}(\boldsymbol{\kappa}) = \frac{k_{x}k_{y}}{-k_{0}^{2}\epsilon + k_{x}^{2}}$$

angular dependent polarization crosstalk





• B-operator for a rotated nanofin structure

$$\begin{pmatrix} \tilde{E}_{x}^{\text{out}}(\boldsymbol{\kappa},\omega) \\ \tilde{E}_{y}^{\text{out}}(\boldsymbol{\kappa},\omega) \end{pmatrix} = \begin{pmatrix} \tilde{B}_{xx}(\boldsymbol{\kappa},\omega) & \tilde{B}_{xy}(\boldsymbol{\kappa},\omega) \\ \tilde{B}_{yx}(\boldsymbol{\kappa},\omega) & \tilde{B}_{yy}(\boldsymbol{\kappa},\omega) \end{pmatrix} \begin{pmatrix} \tilde{E}_{x}^{\text{in}}(\boldsymbol{\kappa},\omega) \\ \tilde{E}_{y}^{\text{in}}(\boldsymbol{\kappa},\omega) \end{pmatrix}$$

$$= \begin{pmatrix} \cos\theta & -\sin\theta \\ \sin\theta & \cos\theta \end{pmatrix} \begin{pmatrix} \tilde{S}_{xx}^{++}(\boldsymbol{\kappa},\omega) & \tilde{S}_{xy}^{++}(\boldsymbol{\kappa},\omega) \\ \tilde{S}_{yx}^{++}(\boldsymbol{\kappa},\omega) & \tilde{S}_{yy}^{++}(\boldsymbol{\kappa},\omega) \end{pmatrix} \begin{pmatrix} \cos\theta & \sin\theta \\ -\sin\theta & \cos\theta \end{pmatrix}$$

 Ideally, each nanofin is supposed to work as a halfwave plate, i.e.

$$\begin{pmatrix} \tilde{S}_{xx}^{++}(\boldsymbol{\kappa},\omega) & \tilde{S}_{xy}^{++}(\boldsymbol{\kappa},\omega) \\ \tilde{S}_{yx}^{++}(\boldsymbol{\kappa},\omega) & \tilde{S}_{yy}^{++}(\boldsymbol{\kappa},\omega) \end{pmatrix} = \begin{pmatrix} 1 & 0 \\ 0 & \exp(\pm i\pi) \end{pmatrix} = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$$

• That leads to the B-operator in form of

$$\begin{pmatrix} \tilde{B}_{xx} & \tilde{B}_{xy} \\ \tilde{B}_{yx} & \tilde{B}_{yy} \end{pmatrix} = \begin{pmatrix} \cos 2\theta & \sin 2\theta \\ \sin 2\theta & -\cos 2\theta \end{pmatrix} = \begin{pmatrix} \cos \psi & \sin \psi \\ \sin \psi & -\cos \psi \end{pmatrix}$$



Reference: M. Khorasaninejad et al.,

(6290), 1190 (2016)

• B-operator for a rotated nanofin structure

$$\begin{pmatrix} \tilde{E}_{x}^{\text{out}}(\boldsymbol{\kappa},\omega) \\ \tilde{E}_{y}^{\text{out}}(\boldsymbol{\kappa},\omega) \end{pmatrix} = \begin{pmatrix} \tilde{B}_{xx}(\boldsymbol{\kappa},\omega) & \tilde{B}_{xy}(\boldsymbol{\kappa},\omega) \\ \tilde{B}_{yx}(\boldsymbol{\kappa},\omega) & \tilde{B}_{yy}(\boldsymbol{\kappa},\omega) \end{pmatrix} \begin{pmatrix} \tilde{E}_{x}^{\text{in}}(\boldsymbol{\kappa},\omega) \\ \tilde{E}_{y}^{\text{in}}(\boldsymbol{\kappa},\omega) \end{pmatrix}$$

$$= \begin{pmatrix} \cos\theta & -\sin\theta \\ \sin\theta & \cos\theta \end{pmatrix} \begin{pmatrix} \tilde{S}_{xx}^{++}(\boldsymbol{\kappa},\omega) & \tilde{S}_{xy}^{++}(\boldsymbol{\kappa},\omega) \\ \tilde{S}_{yx}^{++}(\boldsymbol{\kappa},\omega) & \tilde{S}_{yy}^{++}(\boldsymbol{\kappa},\omega) \end{pmatrix} \begin{pmatrix} \cos\theta & \sin\theta \\ -\sin\theta & \cos\theta \end{pmatrix}$$

 Ideally, each nanofin is supposed to work as a halfwave plate, i.e.

$$\begin{pmatrix} \tilde{S}_{xx}^{++}(\boldsymbol{\kappa},\omega) & \tilde{S}_{xy}^{++}(\boldsymbol{\kappa},\omega) \\ \tilde{S}_{yx}^{++}(\boldsymbol{\kappa},\omega) & \tilde{S}_{yy}^{++}(\boldsymbol{\kappa},\omega) \end{pmatrix} = \begin{pmatrix} 1 & 0 \\ 0 & \exp(\pm i\pi) \end{pmatrix} = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$$

• That leads to the B-operator in form of

$$\begin{pmatrix} \tilde{B}_{xx} & \tilde{B}_{xy} \\ \tilde{B}_{yx} & \tilde{B}_{yy} \end{pmatrix} = \frac{1}{2} \begin{pmatrix} 1 & -i \\ -i & -1 \end{pmatrix} \exp(i\psi) + \frac{1}{2} \begin{pmatrix} 1 & i \\ i & -1 \end{pmatrix} \exp(-i\psi)$$

Reference: M. Khorasaninejad et al.,

(6290), 1190 (2016)

• Spatially varying rotation of nanofins, to realize lens function Science 352 (6290), 1190 (2016)

$$\begin{pmatrix} B_{xx}(\boldsymbol{\rho}) & B_{xy}(\boldsymbol{\rho}) \\ B_{yx}(\boldsymbol{\rho}) & B_{yy}(\boldsymbol{\rho}) \end{pmatrix} = \frac{1}{2} \begin{pmatrix} 1 & -i \\ -i & -1 \end{pmatrix} \exp\left(i\psi(\boldsymbol{\rho})\right) + \frac{1}{2} \begin{pmatrix} 1 & i \\ i & -1 \end{pmatrix} \exp\left(-i\psi(\boldsymbol{\rho})\right)$$

#### with

$$\psi(\boldsymbol{\rho}) = \frac{2\pi}{\lambda_{\rm d}} \left( f - \sqrt{|\boldsymbol{\rho}|^2 + f^2} \right)$$

• When input field is circularly polarized, i.e.

 $\left(\begin{array}{c}E_x^{\rm in}(\boldsymbol{\rho},\omega)\\\tilde{E}_y^{\rm in}(\boldsymbol{\rho},\omega)\end{array}\right) = \left(\begin{array}{c}1\\{\rm i}\end{array}\right)$ 

it can be shown that

$$\left(\begin{array}{c}E_x^{\text{out}}(\boldsymbol{\rho},\omega)\\E_y^{\text{out}}(\boldsymbol{\rho},\omega)\end{array}\right) = \left(\begin{array}{c}1\\\text{i}\end{array}\right) \exp\left(\text{i}\psi(\boldsymbol{\rho})\right)$$



• Analysis with different input polarizations



### **Further Information**

- Fast propagation of electromagnetic fields in graded-index media Paper 10694-21 Time: 11:10 AM - 11:30 AM
- Semi-analytical Fourier transform and its application to physical-optics modelling
   Paper 10694-24
- The Gouy phase shift reinterpreted via the geometric Fourier transform Paper 10694-26 Time: 2:30 PM - 2:50 PM