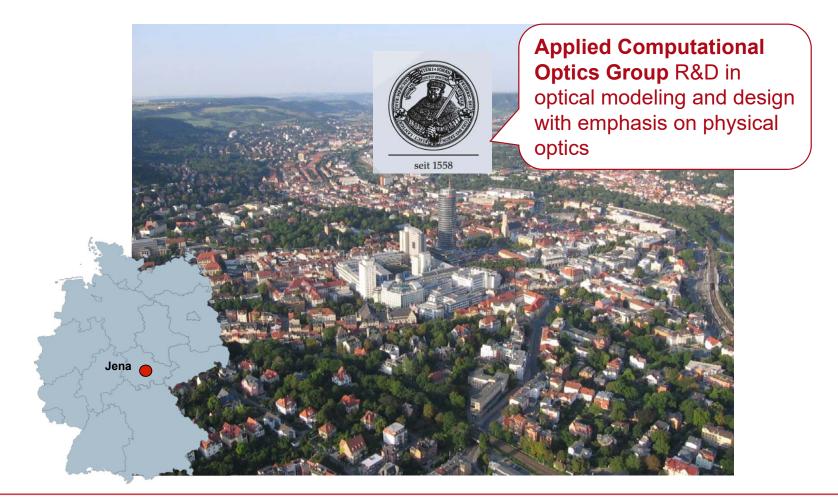


Optatec 2018, 15.05.2018

Optical design of diffractive and freeform solutions for light shaping with VirtualLab Fusion

Frank Wyrowski

- University of Jena, Applied Computational Optics
- LightTrans International UG
- Wyrowski Photoncis UG



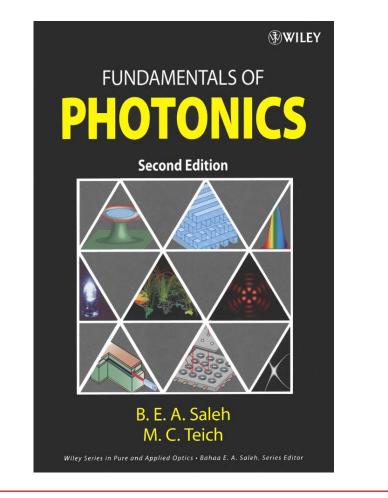


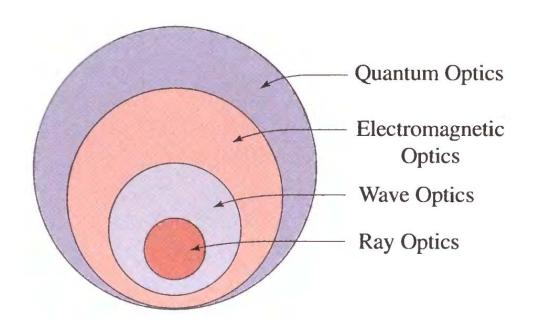


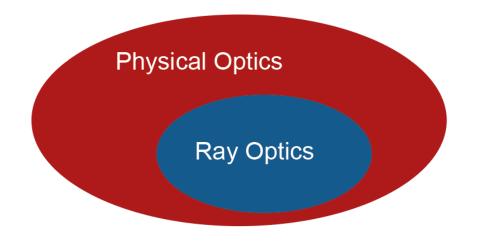


Optical design of diffractive and freeform solutions for light shaping with VirtualLab Fusion

Diffractive and geometric branches of physical optics

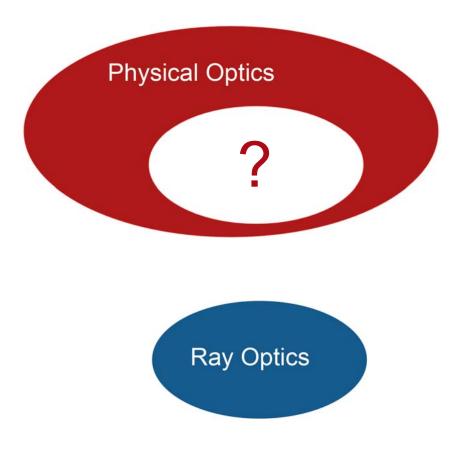






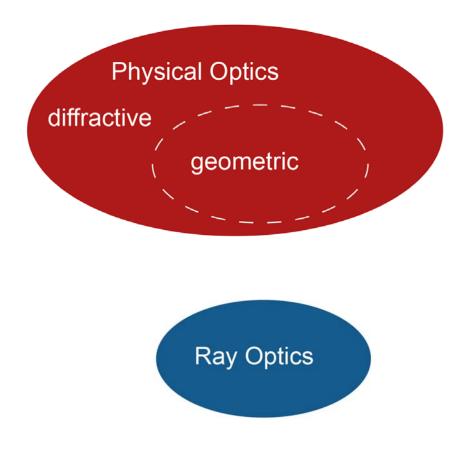
- Physical optics:
 - Light represented by electromagnetic fields which
 - are governed by Maxwell's equations.

- Geometrical/ray optics:
 - Light is represented by mathematical rays (with energy flux) which
 - are governed by Fremat's principle which is mathematically expressed by ray equation.



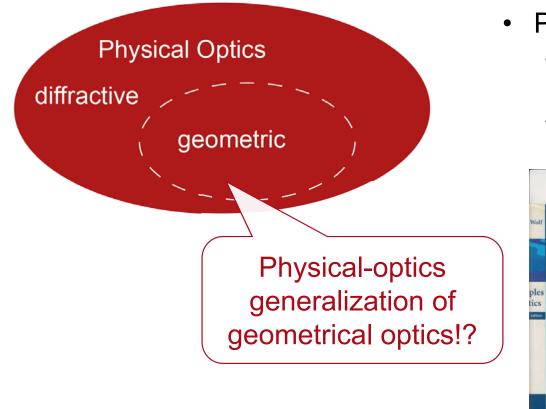
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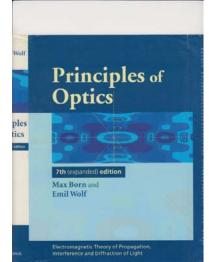


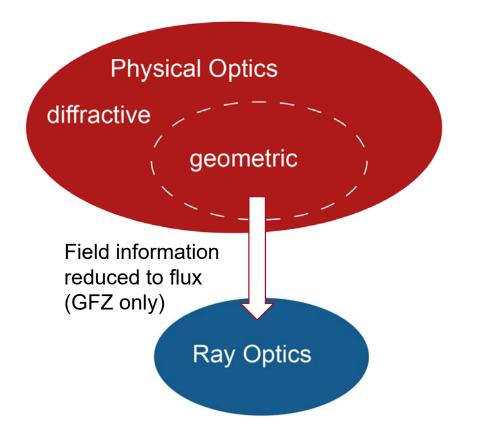
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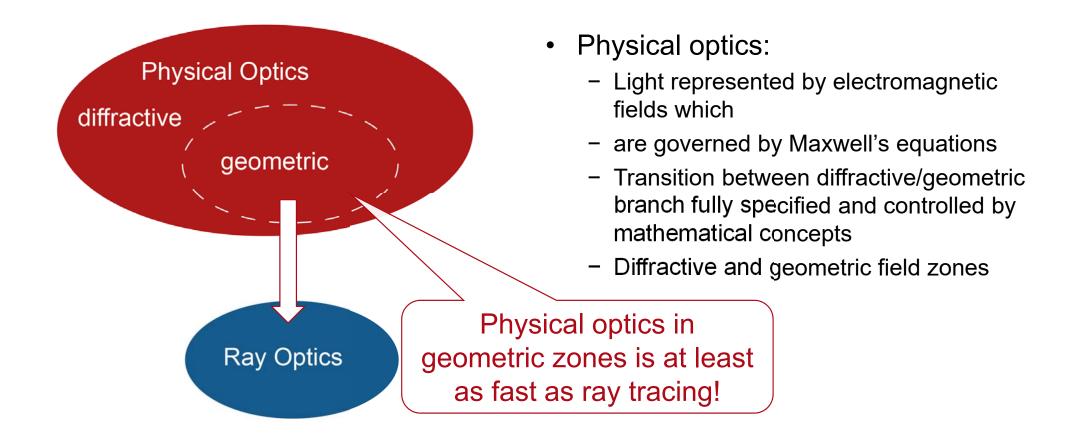


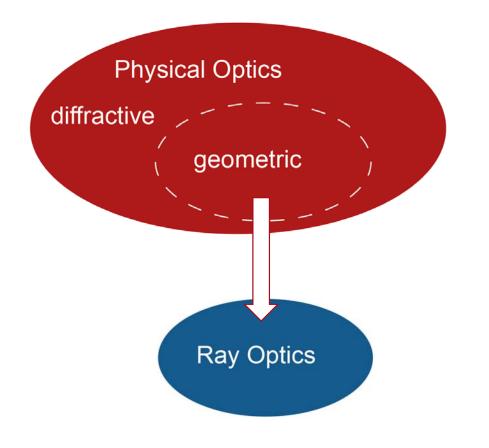
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- Physical optics:
 - Light represented by electromagnetic fields which
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 - Transition between diffractive/geometric branch fully specified and controlled by mathematical concepts
 - Diffractive and geometric field zones

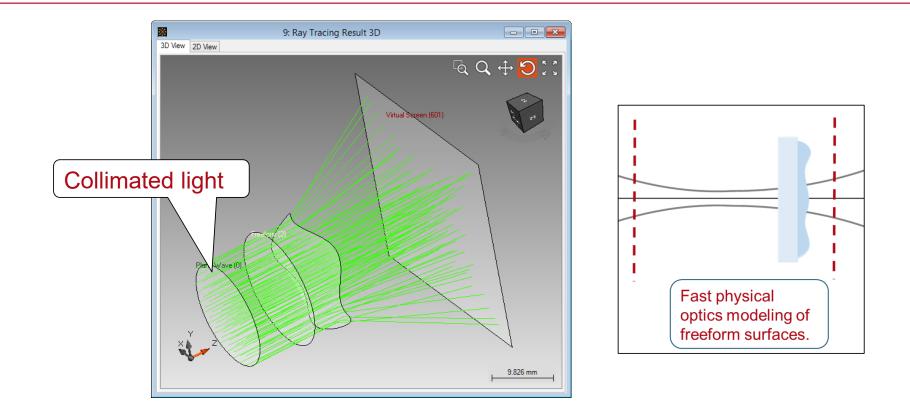


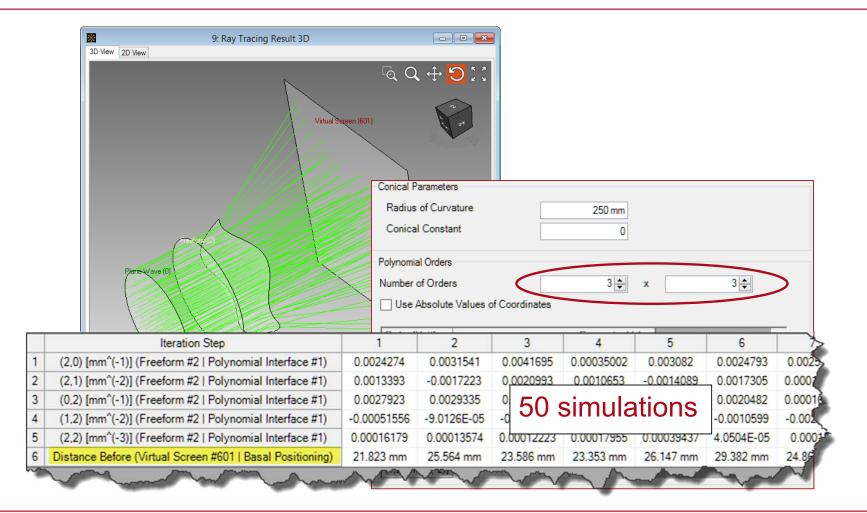


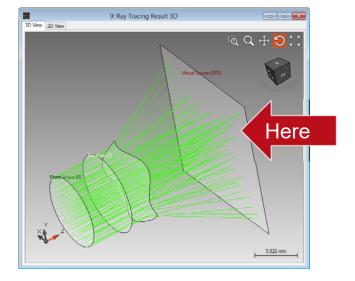
- Physical optics:
 - Light represented by electromagnetic fields which
 - are governed by Maxwell's equations
 - Transition between diffractive/geometric branch fully specified and controlled by mathematical concepts
 - Diffractive and geometric field zones
- VirtualLab Fusion deals with the transitions between diffractive and geometric branches of physical optics automatically (steady development).

Modeling and Design with Fast Physical Optics

- Compared to ray tracing You do not lose anything by fast physical optics
- Ray tracing is included in VirtualLab Fusion software on a solid base knowing about limitations of ray optics

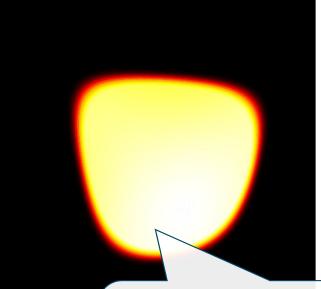




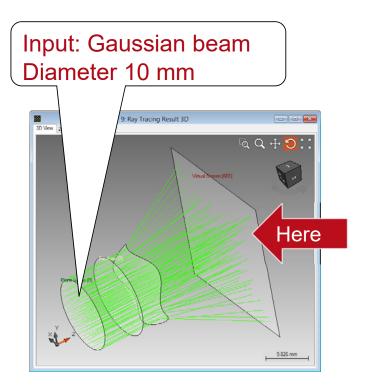


cpu time per simulation < 1 sec

Amplitude $E_x(x,y)$



Fast simulation with low number of wavefront samples but noise-free detector signal!



Amplitude E_x(x,y)

cpu time per simulation < 1 sec

Modeling and Design with Fast Physical Optics

- Compared to ray tracing You do not lose anything by fast physical optics
- Ray tracing is included in VirtualLab Fusion software on a solid base knowing about limitations of ray optics

By going beyond ray tracing

- You win more information about the light in your system
- You get better insight into the performance of your system
- You can include and investigate more effects
- You can model with higher accuracy
- You are ready for new optical design concepts and by that for innovative optical solutions

Modeling and Design with Fast Physical Optics

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Light Shaping Task

... from a physical-optics perspective

Light Shaping Task: Source Modes

- Source Source field
- Laser
- Laser diode
- LED
- OLED
- Lamp
- Natural light

 Any source field can be decomposed into harmonic and mutually incoherent modes

Light Shaping Task: Source Modes



- Laser
- Laser diode
- LED
- OLED
- Lamp
- Natural light

- Any source field can be decomposed into harmonic and mutually incoherent modes
 - Gaussian modes

Light Shaping Task: Gaussian Modes (Hermite)



- Laser
- Laser diode
- LED
- OLED
- Lamp
- Natural light

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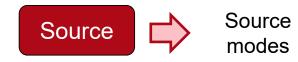
Light Shaping Task: Source Modes



- Laser
- Laser diode
- LED
- OLED
- Lamp
- Natural light

- Any source field can be decomposed into harmonic and mutually incoherent modes
 - Gaussian modes
 - Plane wave modes
 - Shifted modes, e.g.
 - Spherical wave
 - Lambertian mode

Light Shaping Task: Shifted Modes



- Laser
- Laser diode
- LED
- OLED
- Lamp
- Natural light

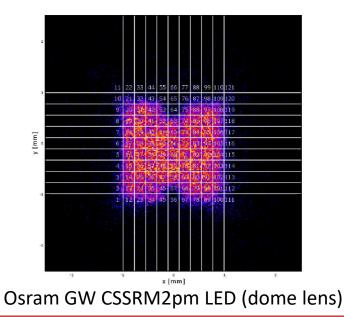
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Light Shaping Task: Modes from Ray Data



- Laser
- Laser diode
- LED
- OLED
- Lamp
- Natural light

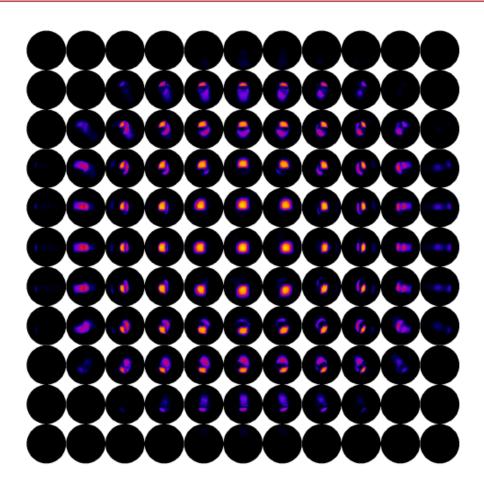
- Any source field can be decomposed into harmonic and mutually incoherent modes
- Modes from ray data



Light Shaping Task: Modes from Ray Data



- Laser
- Laser diode
- LED
- OLED
- Lamp
- Natural light



Light Shaping Task: Design



- Laser
- Laser diode
- LED
- OLED
- Lamp
- Natural light

- Any source field can be decomposed into harmonic and mutually incoherent modes
- Design:
 - For one mode only (+ far field of source)
 - For one mode only and then optimization for other modes
 - Simultaneous design for few modes
 - Multichannel techniques
 - Diffuser

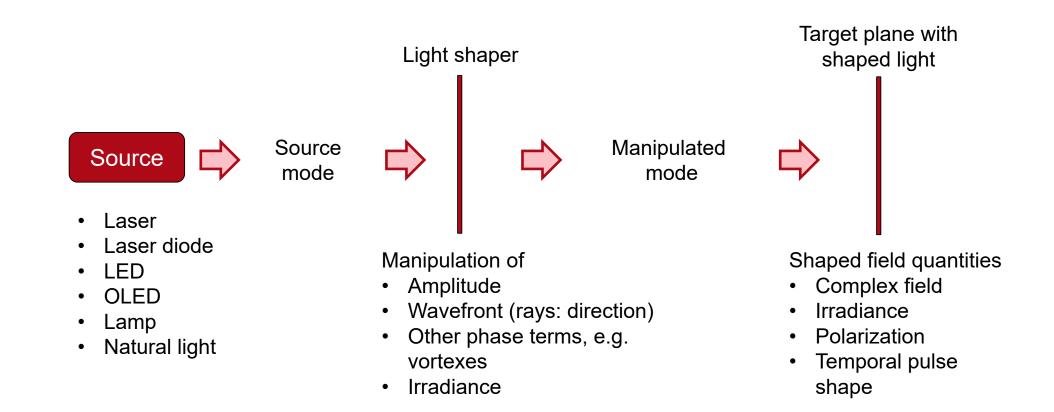
Light Shaping Task: Design



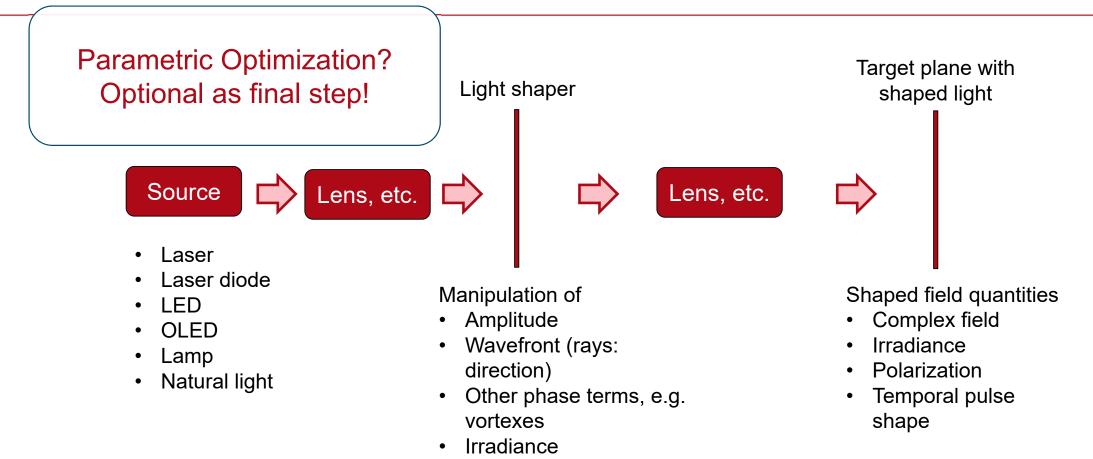
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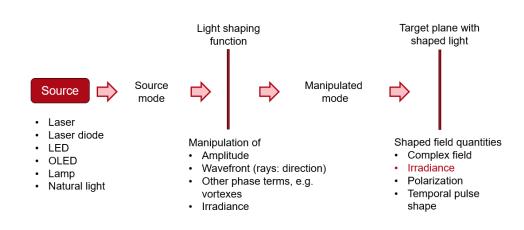
Light Shaping Task



Light Shaping Task



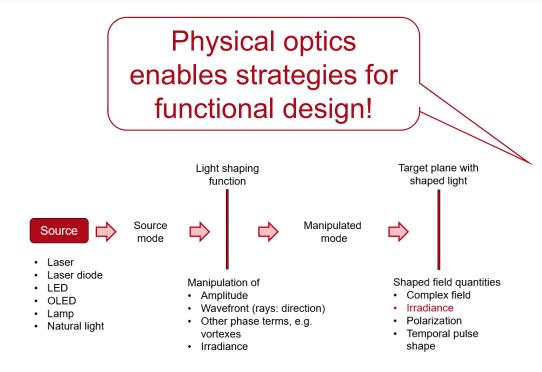
Light Shaping Design: Functional



We need to answer the following questions:

- What kind of light manipulation is needed in order to obtain the demanded shaping result?
- Do I need more components and which are the required distances?

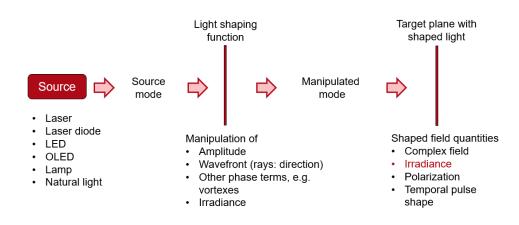
Light Shaping Design: Functional



We need to answer the following questions:

- What kind of light manipulation is needed in order to obtain the demanded shaping result?
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Light Shaping Design: Structural



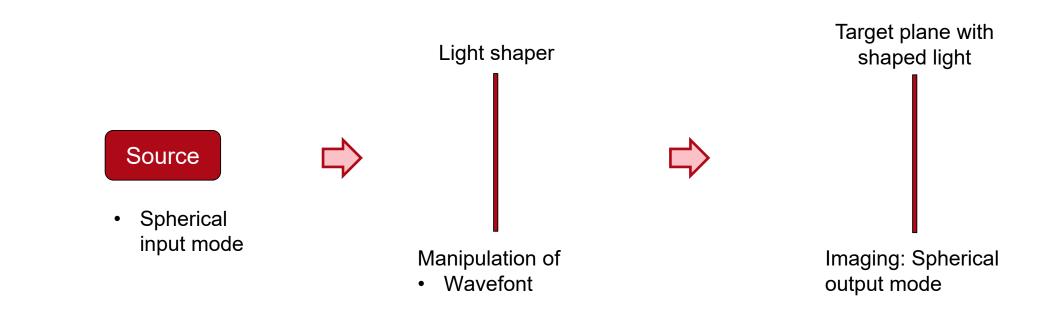
Functional design provides a strong foundation for the subsequent structural design.

We need to answer the following questions:

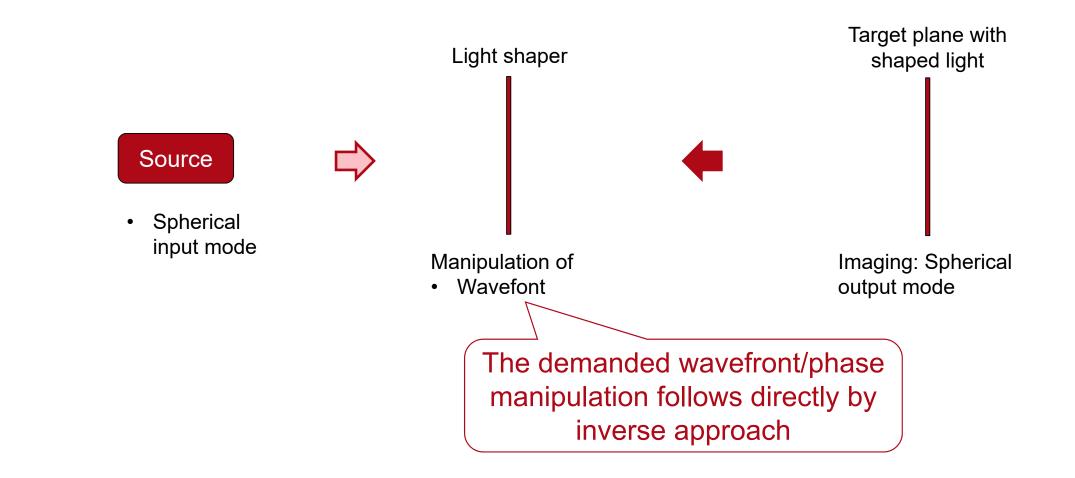
- What kind of light manipulation is needed in order to obtain the demanded shaping result?
- Do I need more components and which are the required distances?
- What kind of components can be used to obtain the required light manipulations?
 - Spherical, aspherical, freeform
 - Diffractive
 - GRIN components
 - Metasurfaces

Examples from lens design: Imaging

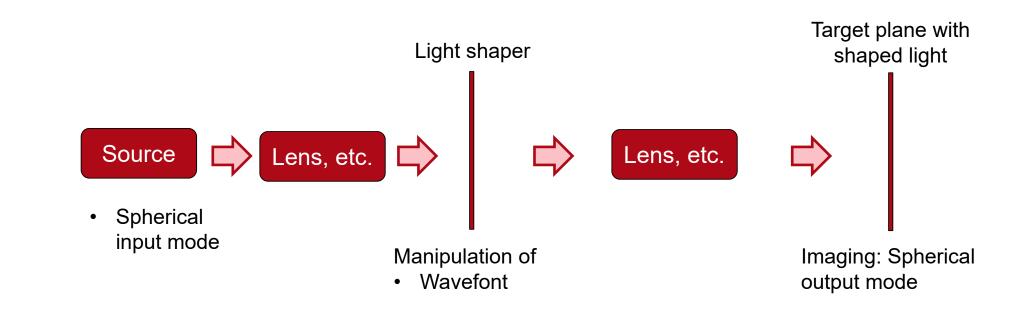
Basic Imaging Task



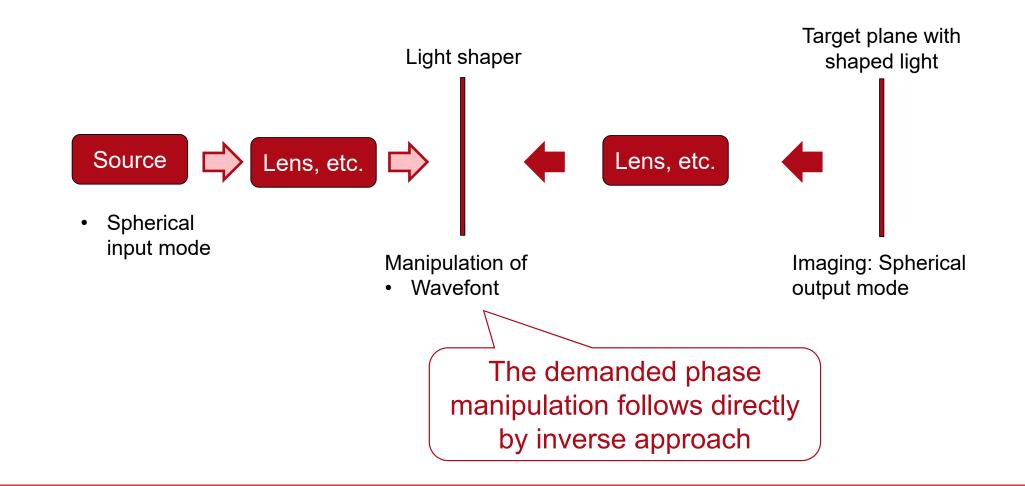
Functional Design: Inverse Approach



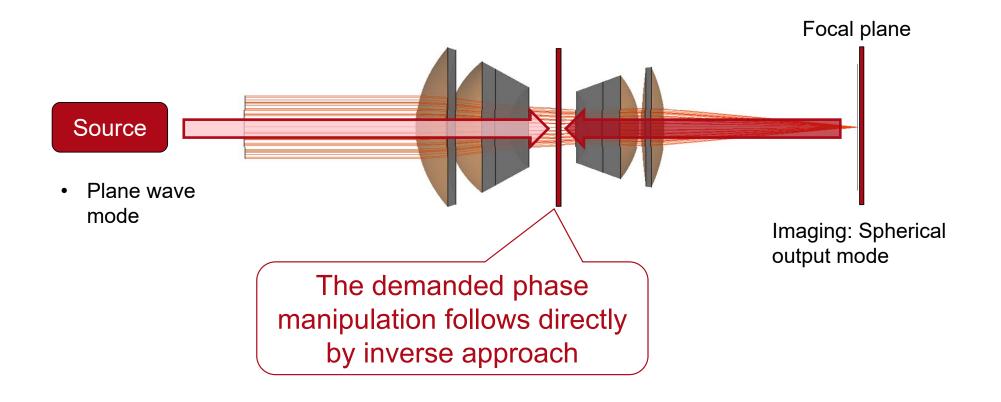
Functional Design: Inverse Approach

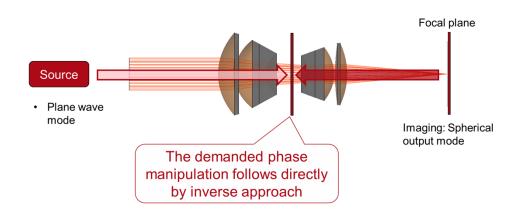


Functional Design: Inverse Approach

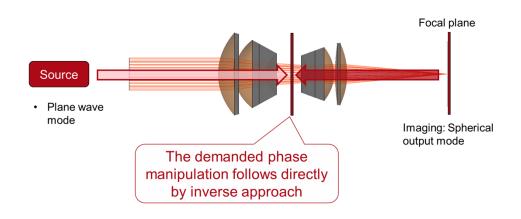


Example: Correction of Lens System

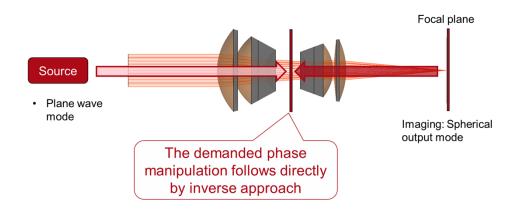




- What kind of light manipulation is needed in order to obtain the demanded shaping result?
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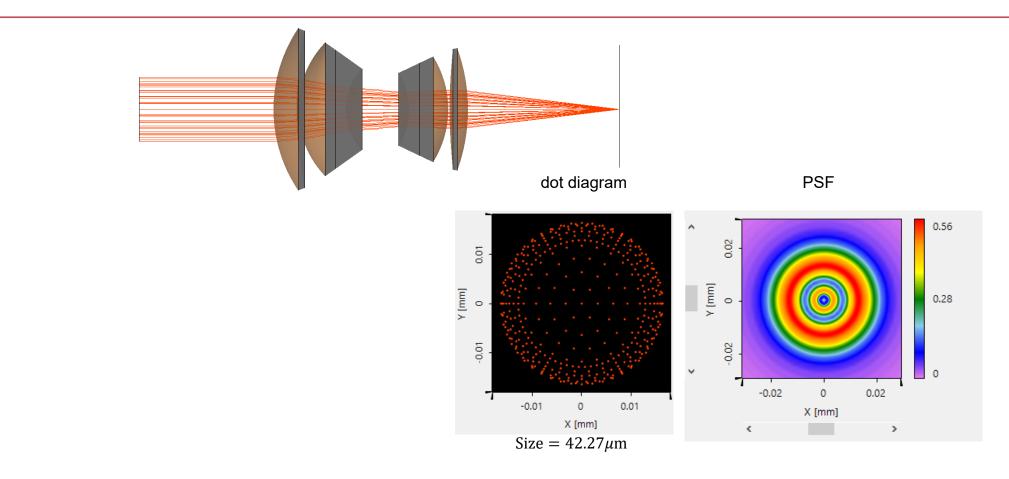
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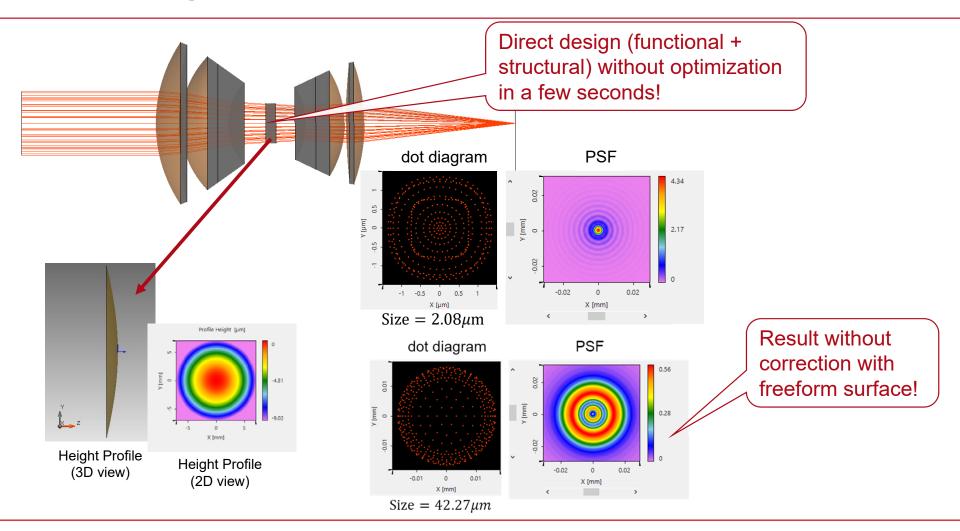
- We have developed a fast freeform surface design algorithm.
- It is based on the phase information from functional design step.
- No parametric optimization!

- What kind of light manipulation is needed in order to obtain the demanded shaping result?
- Do I need more components and which are the required distances?
- What kind of components can be used to obtain the required light manipulations?
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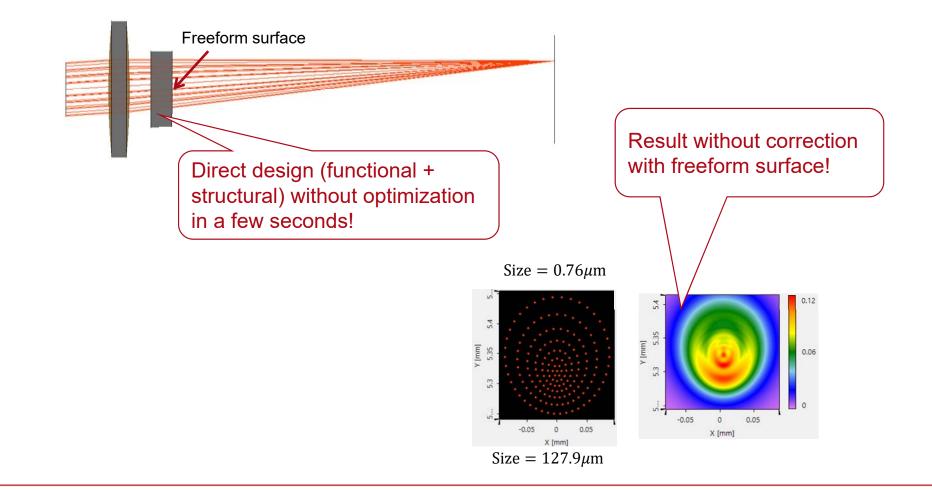
Example: Focusing Lens w/o Freeform



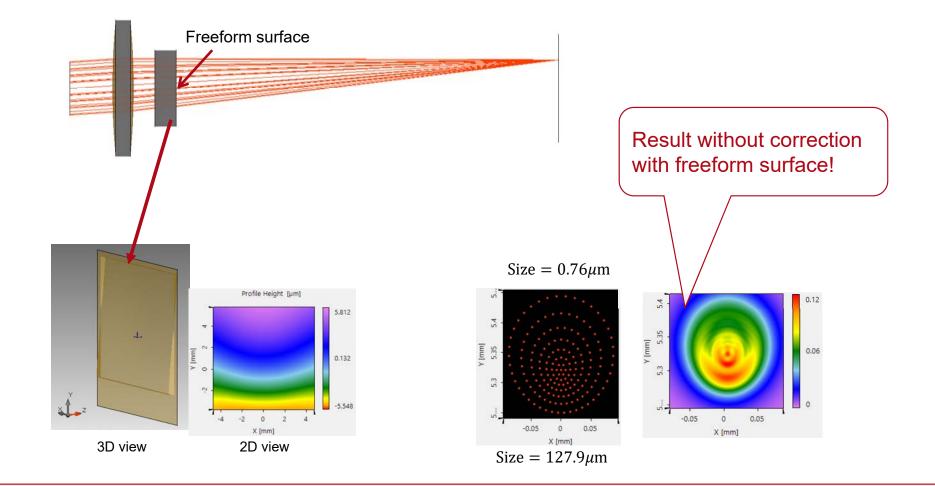
Example: Focusing Lens w/ Freeform



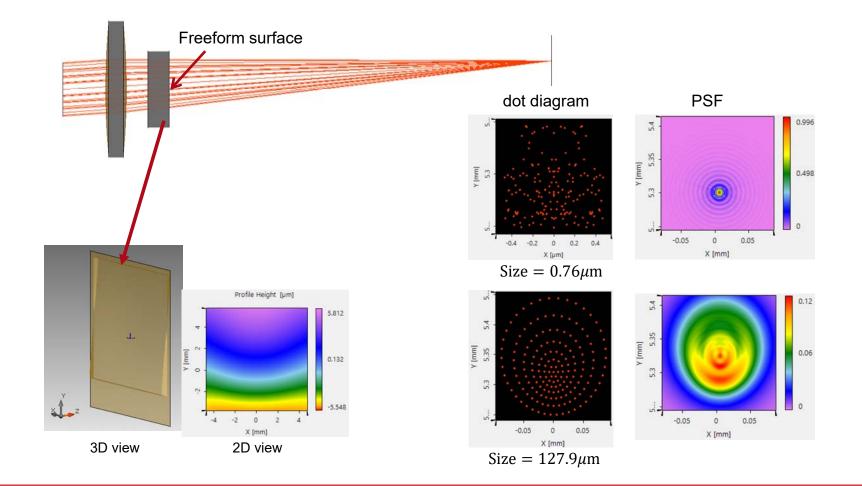
Example: Focusing Lens Off-Axis

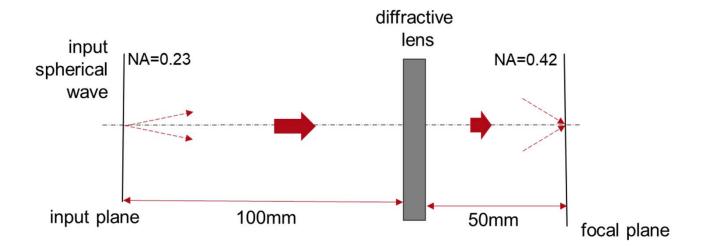


Example: Focusing Lens Off-Axis

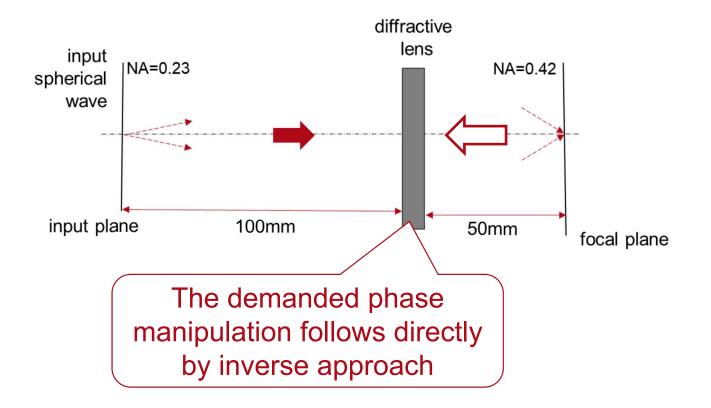


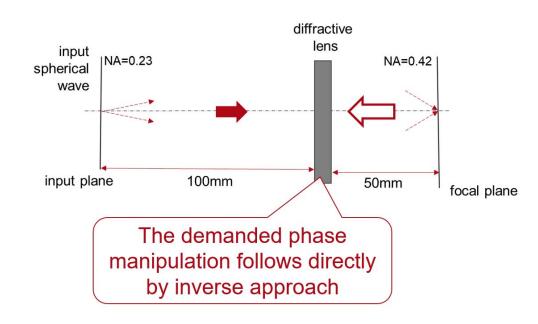
Example: Focusing Lens Off-Axis



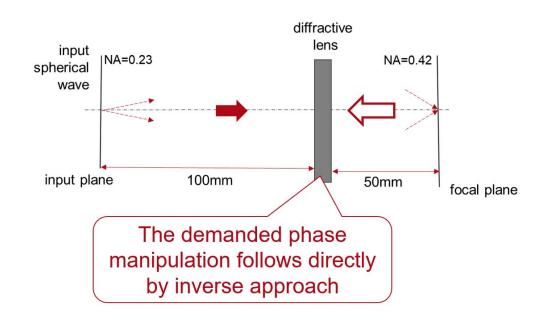


Imaging with Diffractive Lens: Functional Design

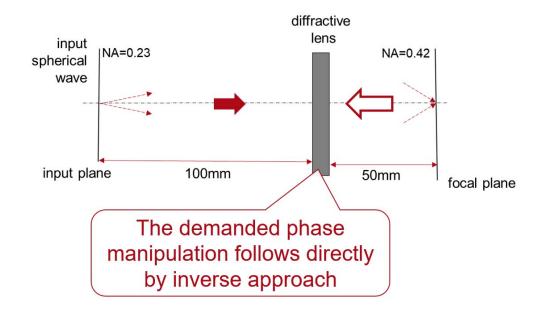




- What kind of light manipulation is needed in order to obtain the demanded shaping result?
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 - Diffractive
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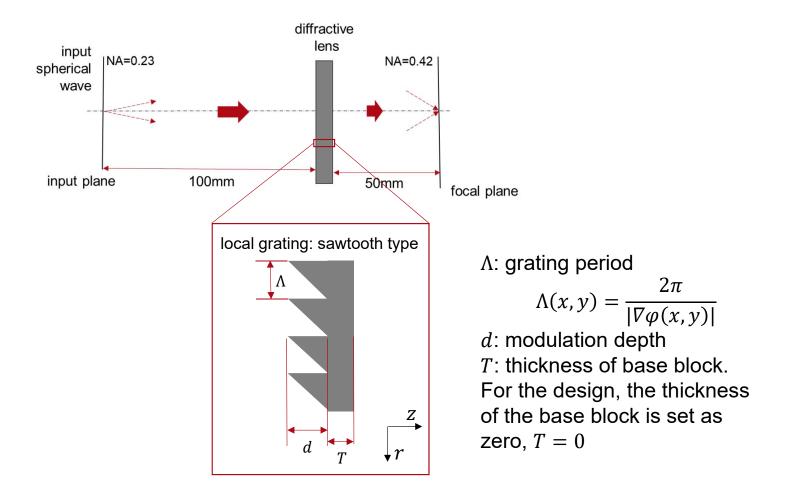


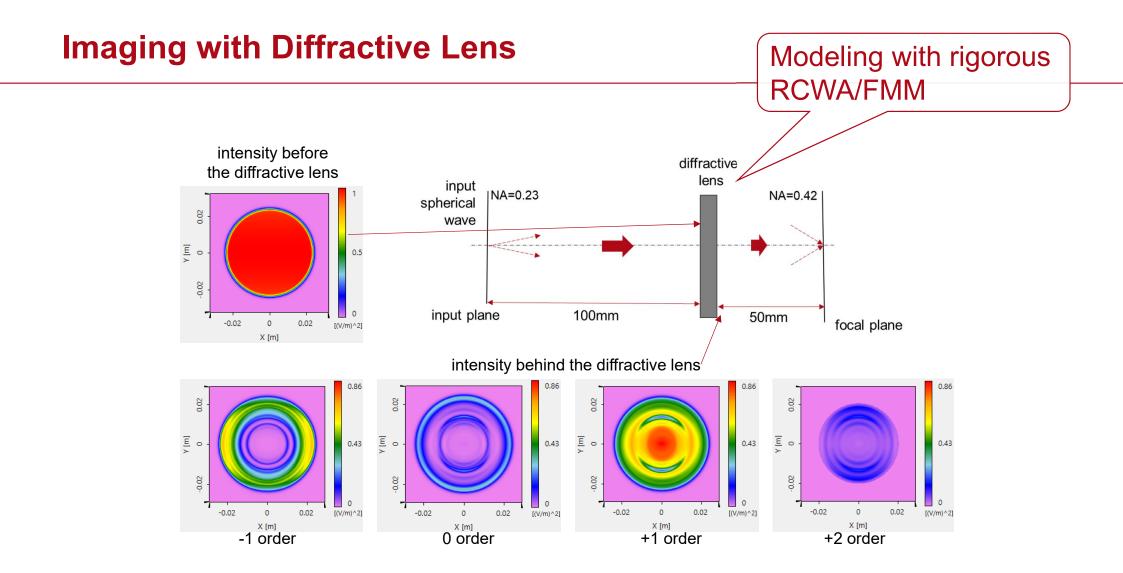
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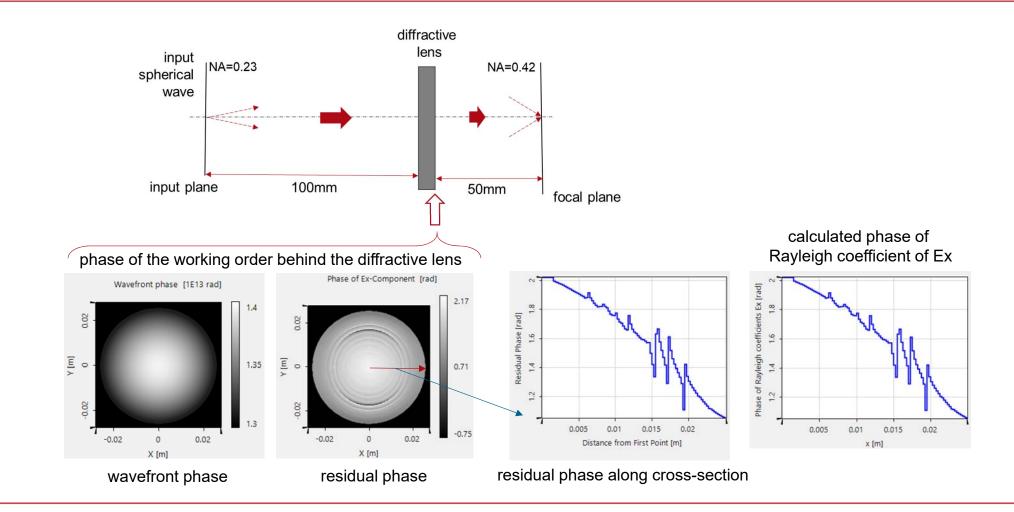


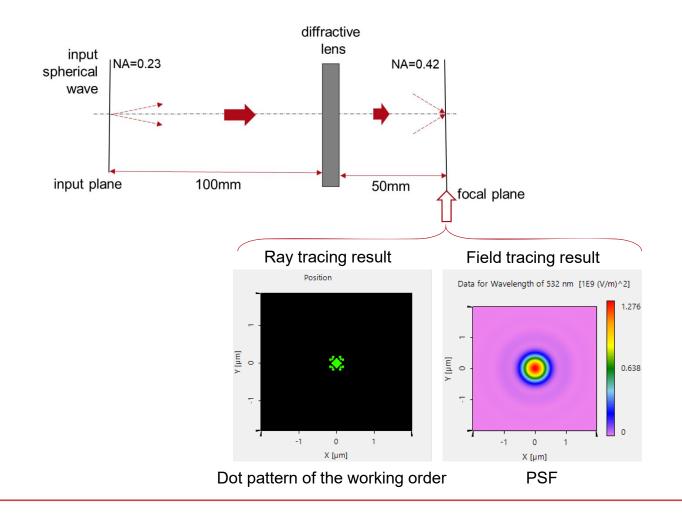
 Local periods of diffractive lens follow directly from phase design in functional design step.

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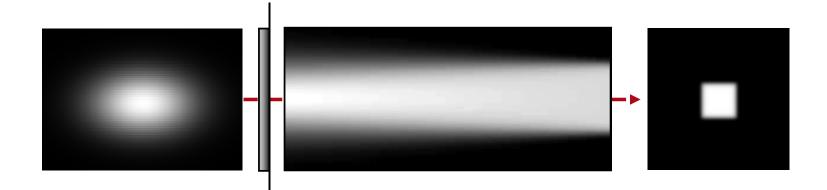




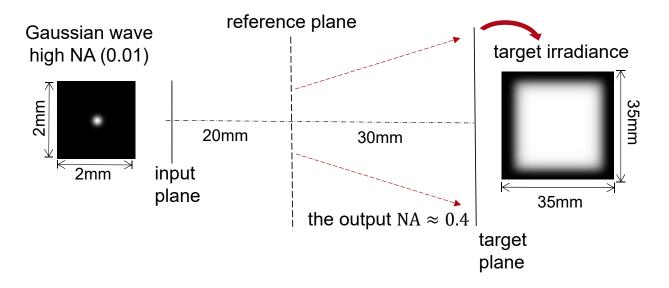
Light shaping by wavefront control

Laser beam shaping and more

Laser beam shaping: Paraxial

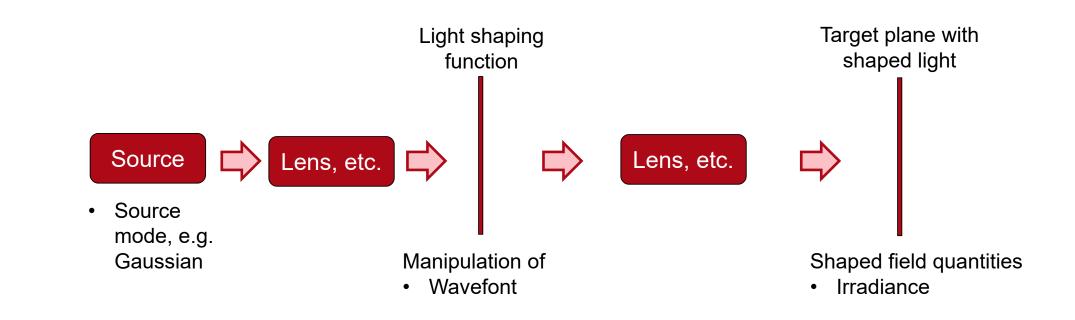


Gaussian to Top-Hat (Non-paraxial)

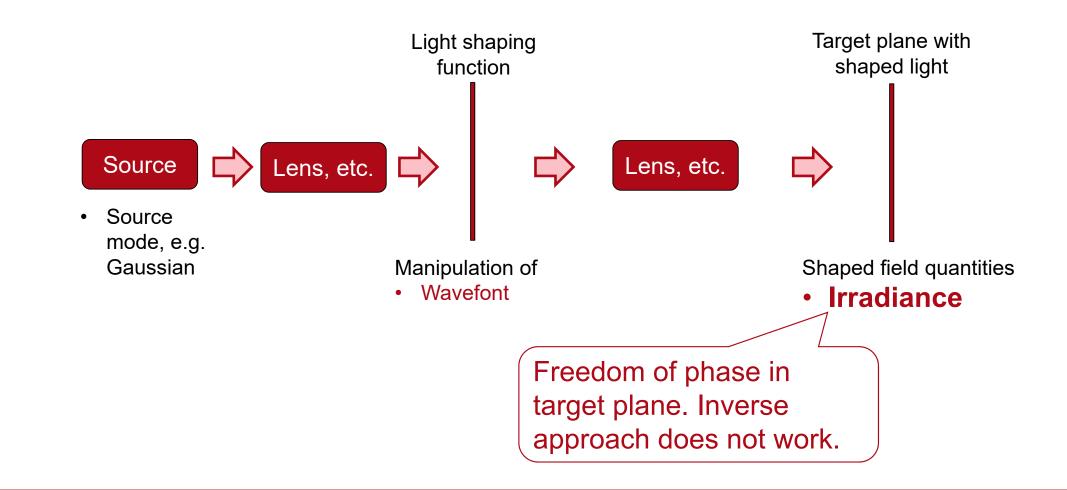


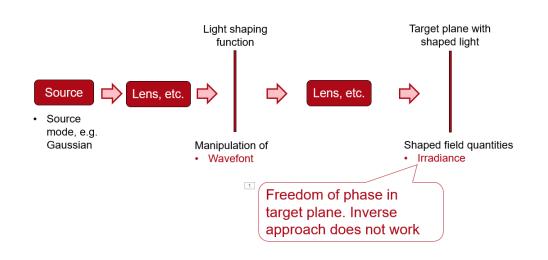
The Rayleigh lengths of the input Gaussian is $555.6 \mu m$

Light Shaping by Wavefront Control

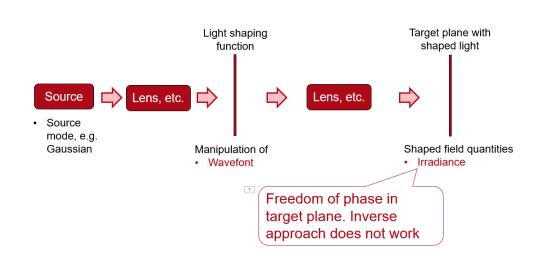


Light Shaping by Wavefront Control



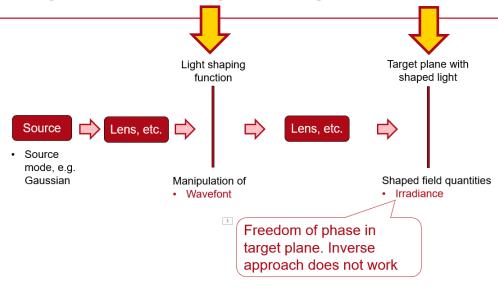


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We need to answer the following questions:

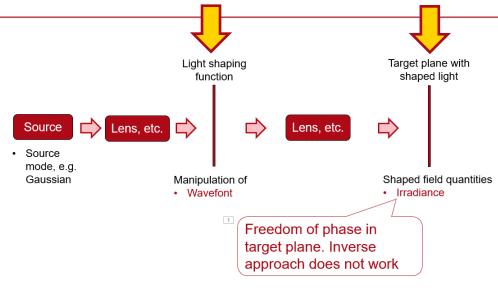
 What kind of light manipulation is needed in order to obtain the demanded shaping result?



We need to answer the following questions:

 What kind of light manipulation is needed in order to obtain the demanded shaping result?

- Energy conservation leads to identity of integral over irradiances in shaper and target planes.
- Together with geometric zone assumption phase for wavefront manipulation can be designed.



- Energy conservation leads to identity of integral over irradiances in shaper and target planes.
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We need to answer the following questions:

 What kind of light manipulation is needed in order to obtain the demanded shaping result?

Analytical beam shaping with application to laser-diode arrays

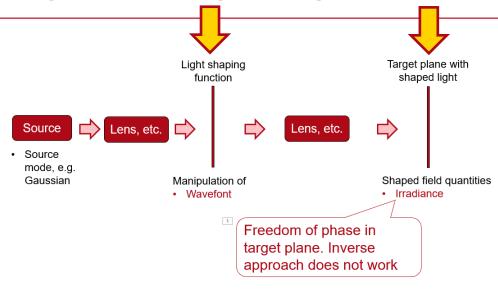
Harald Aagedal, Michael Schmid, Sebastian Egner, Jörn Müller-Quade, and Thomas Beth

Institut für Algorithmen und Kognitive Systeme, Universität Karlsruhe, Am Fasanengarten 5, D-76128 Karlsruhe, Germany

Frank Wyrowski

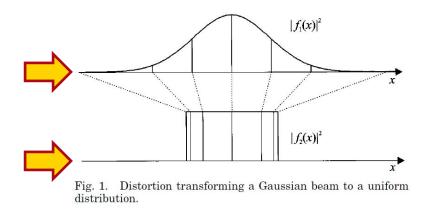
Institut für Angewandte Physik, Friedrich-Schiller-Universität, Max-Wien-Platz 1, D-07743 Jena, Germany

Vol. 14, No. 7/July 1997/J. Opt. Soc. Am. A 1549

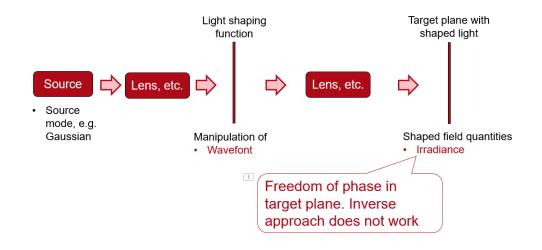


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 What kind of light manipulation is needed in order to obtain the demanded shaping result?



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- Together with geometric zone assumption phase for wavefront manipulation can be designed.



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Design of diffractive beam-shaping elements for non-uniform illumination waves

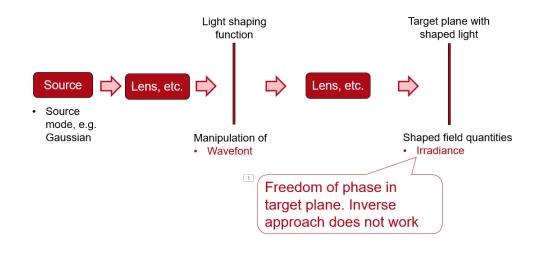
Andreas Hermerschmidt and Hans J. Eichler

Technical University of Berlin, Optisches Institut P11 Strasse des 17. Juni 135, 10623 Berlin, Germany

Stephan Teiwes and Joerg Schwartz

Berlin Institute of Optics (BIFO), Department of Diffractive Optics Rudower Chaussee 6, 12484 Berlin, Germany

Proc. SPIE 1998



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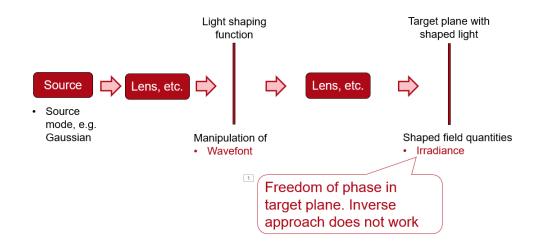
 What kind of light manipulation is needed in order to obtain the demanded shaping result?

Applied Numerical Mathematics 61 (2011) 298-307
Contents lists available at ScienceDirect
Applied Numerical Mathematics
www.elsevier.com/locate/apnum

An efficient approach for the numerical solution of the Monge–Ampère equation

Mohamed M. Sulman*, J.F. Williams, Robert D. Russell

Department of Mathematics, Simon Fraser University, Burnaby, British Columbia, V5A 156 Canada

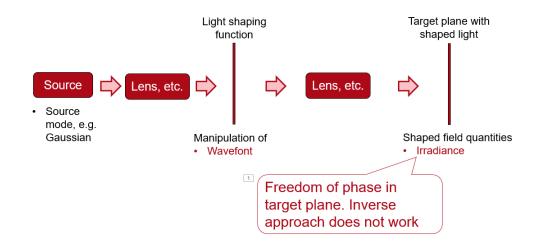


We need to answer the following questions:

 What kind of light manipulation is needed in order to obtain the demanded shaping result?

- VirtualLab Fusion provides technique for functional design in light shaping.
- Demanded phase for wavefront change is known.

Light Shaping Design: Structural

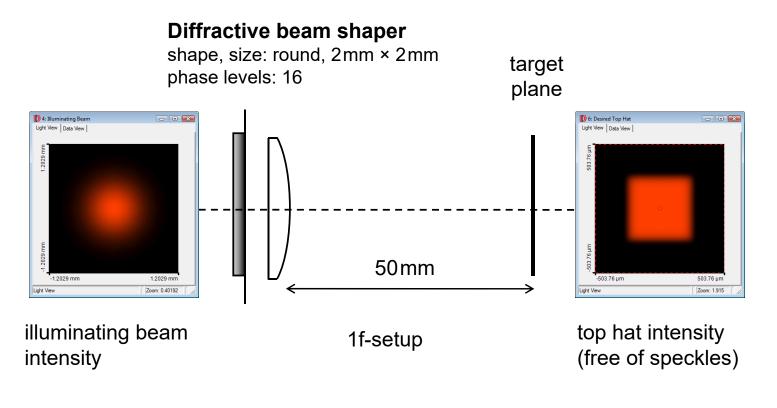


- Structural design available
 - Spherical, aspherical, freeform
 - Diffractive (HOE)
 - GRIN components (soon!)
 - Metasurfaces (soon!)

We need to answer the following questions:

- What kind of light manipulation is needed in order to obtain the demanded shaping result?
- Do I need more components and which are the required distances?
- What kind of components can be used to obtain the required light manipulations?
 - Spherical, aspherical, freeform
 - Diffractive
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Paraxial Laser Beam Shaping



surrounding medium: vacuum

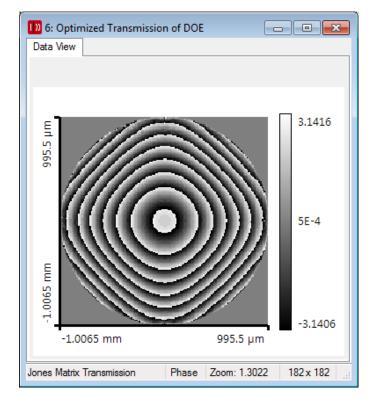
All Tools Available in VirtualLab Fusion



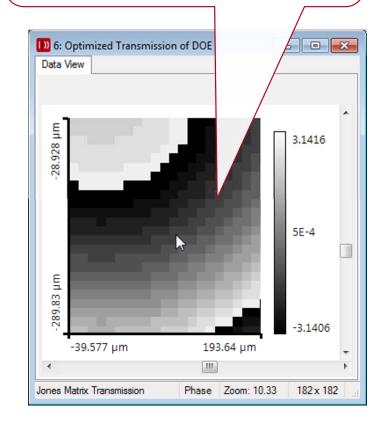
Basic Parameters		Spectral Parameters		
Spatial Parameters	Polarization	Mode Selection	n Samplin	
Generate Cross Se	ction			
	Hemite 0	Hermite Gaussian Mode		
Order		0 × 0		
M [^] 2 Parameter		1 ×		
Reference Waveleng	h (Vacuum)		632.8 nm 🔹	
Select Achromatic Pa	rameter:			
Waist Radius (1/e)	^2)	500 µm ×	500 µr	
Half-Angle Diverg (1/e ²)	ence	0.023082°	0.023082	
Rayleigh Length		1.2411 m	1.2411 n	
Astigmatism			0 r	
Offset between y- and	I x-Plane		01	
Copy from Calculat	or Copy to x	- and y-Values		
Copy from Calcula	Copy to x	- and y-Values		

cification Design Analysis			
nput Field	Propagation		
Wavelength 632.8 nm	Type of Propagation	1f-/2f-Setup	•
Constant Input Field	Focal Length	50 mm	
Arbitrary Input Field Set Show	Embed Frame Width	0	
ransmission	Pixelation Factor	1	
Sampling Points 364 × 364	Simulate Pixelation Example	ctly	
	Output Plane Sampling		
iampling Distance 11 μm x 11 μm	Sampling Points	364 x	364
ype of Continuous Phase-Only	Sampling Distance	7.9021 µm x	7.9021 µm
lumber of 16	Field Size	2.8764 mm x	2.8764 mm
Quantization Levels	✓ Use Angular Coordinate	is	
Output Field Requirements			
Signal Field Set Show	Limit Stray Light		
Signal Region Set Show	Maximum Relative Int of Stray Light	ensity	2 %
Signal Region = Signal Field	Limit Feature Size		
Allow Phase Freedom			
Allow Scale Freedom	Minimum Feature Size	e	1 µm
Limit Scale Factor According	Maximum Stray Light for Higher Frequencie		0 %

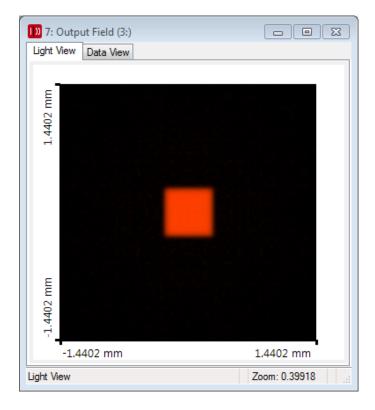
IFTA Optimized Transmission



Quantized to 16 levels to enable lithographic fabrication.

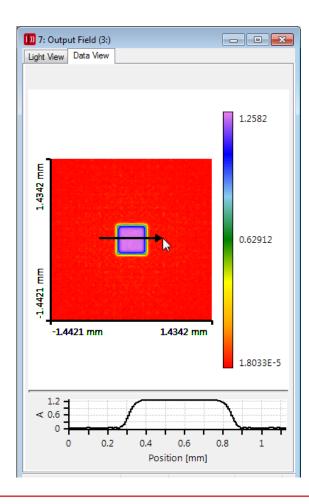


Result of Design

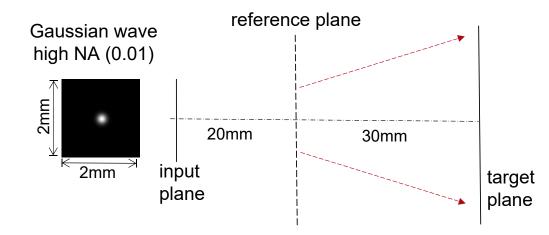


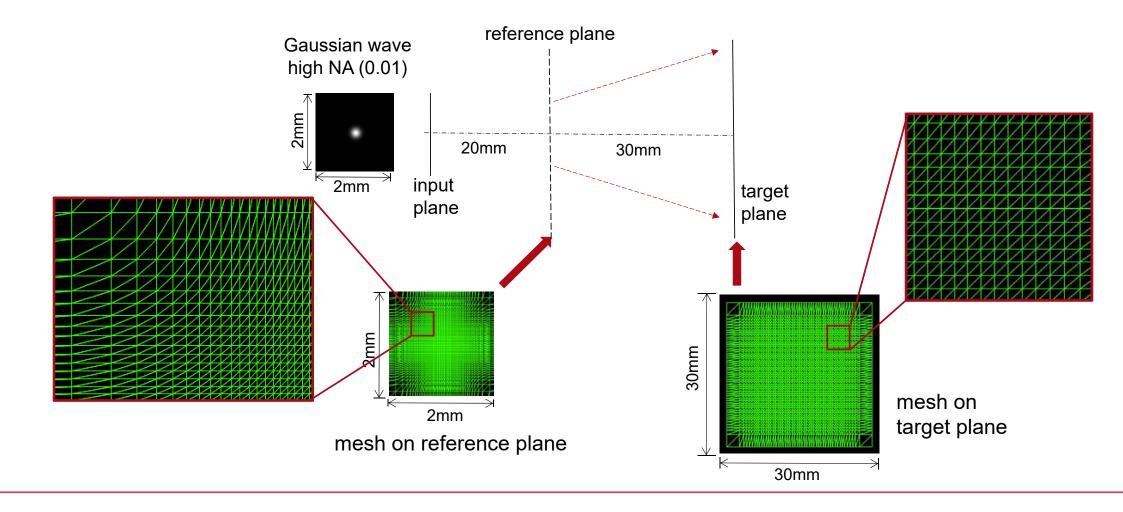
Window Efficiency [%]		-	
Conversion Efficiency [%]	96.897799114995138		
✓ Signal-to-Noise Ratio / dB	48.467698617696094	E	
Uniformity Error [%]	15.433530790091091		
Zeroth Order Intensity [%]			
Zeroth Order Efficiency [%]		Ĩ	
Maximum Relative Intensity of Stray Light [%]	1.5324463437275169	-	

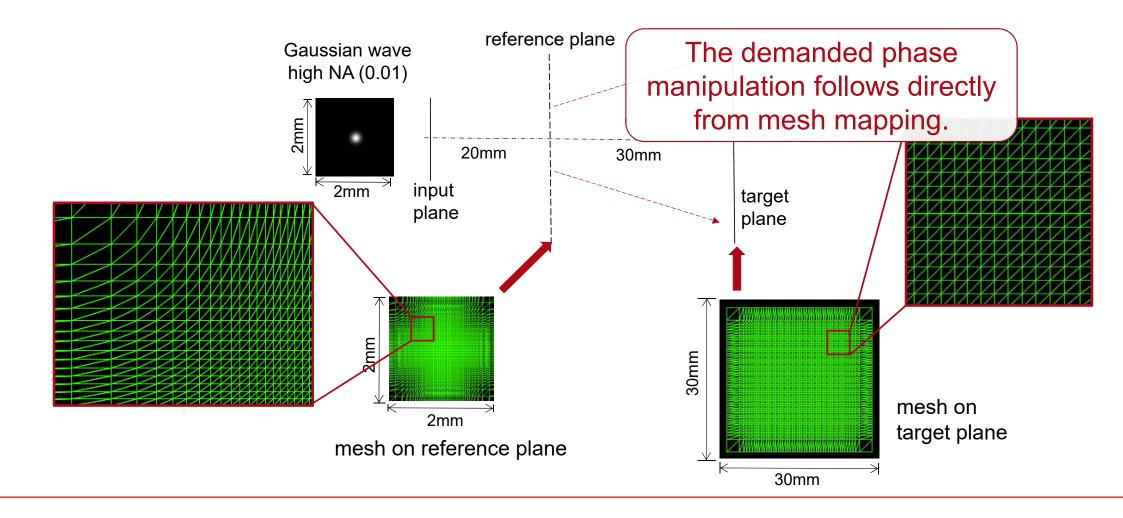
Result of Design

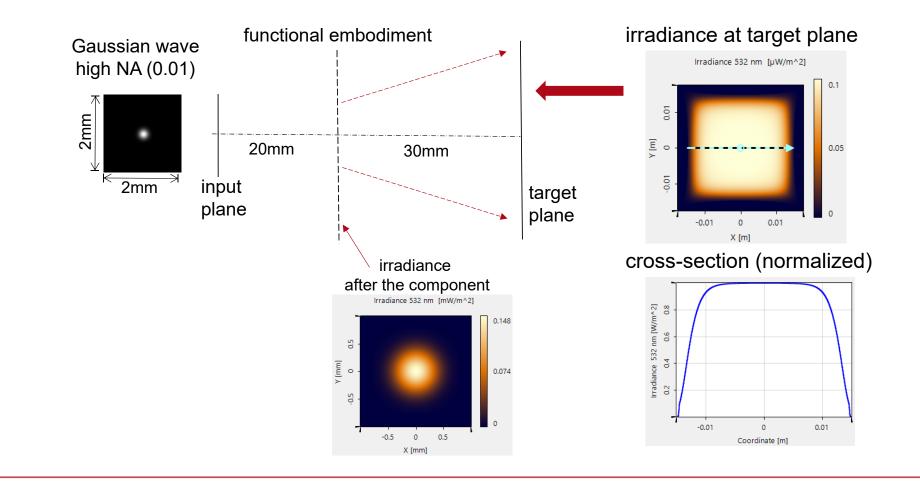


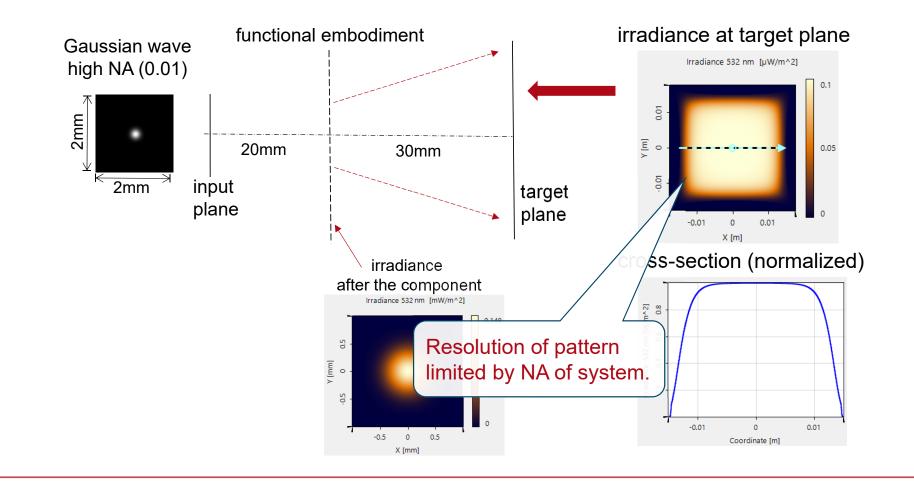
Window Efficiency [%]		
Conversion Efficiency [%]	96.897799114995138	
Signal-to-Noise Ratio / dB	48.467698617696094	
Uniformity Error [%]	15.433530790091091	Ξ
Zeroth Order Intensity [%]		
Zeroth Order Efficiency [%]		1
Maximum Relative Intensity of Stray Light [%]	1.5324463437275169	4



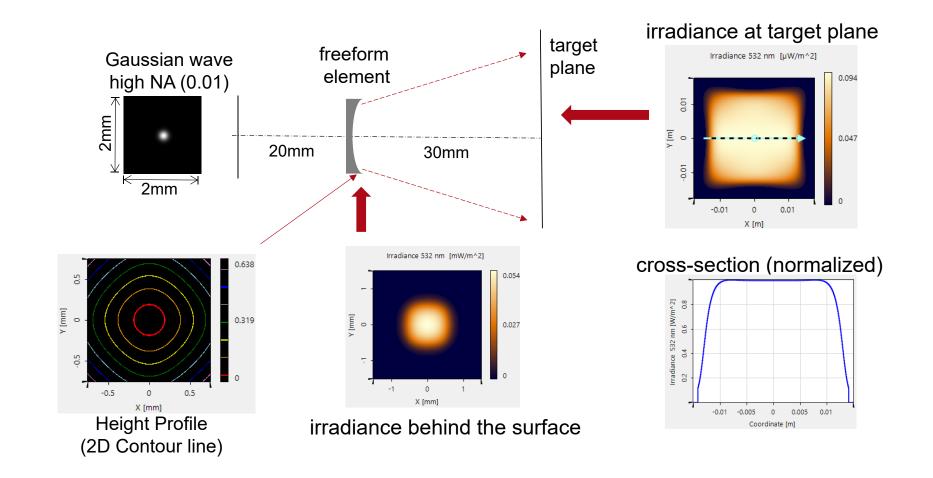




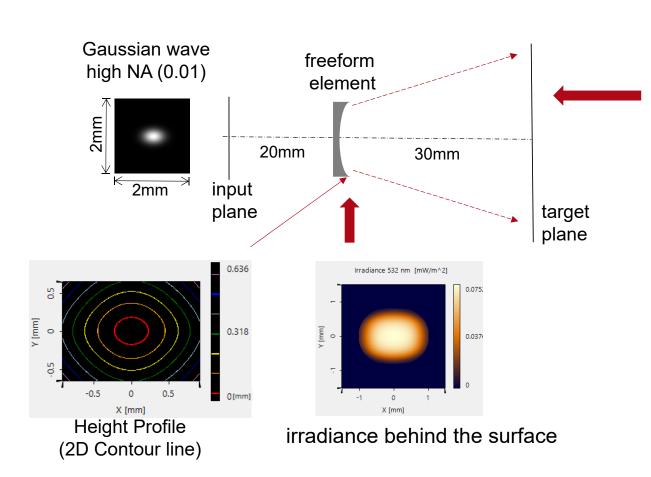


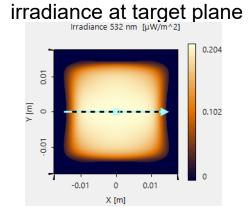


Gaussian to Top-Hat (Non-paraxial): Freeform Surface Design

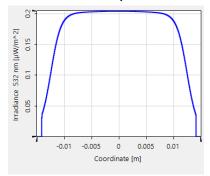


Elliptical Gaussian to Top-Hat: Freeform Surface Design

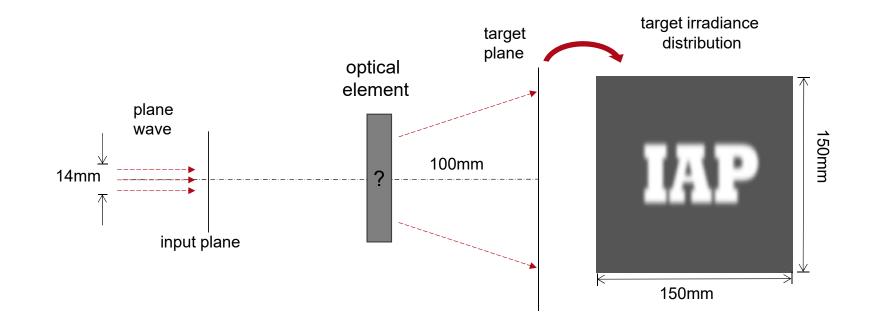




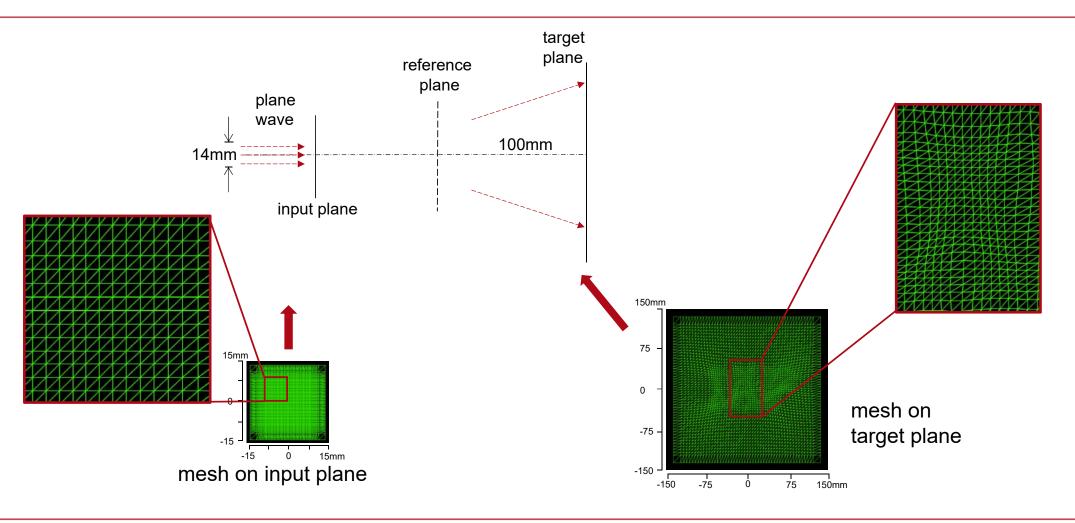
cross-section (normalized)



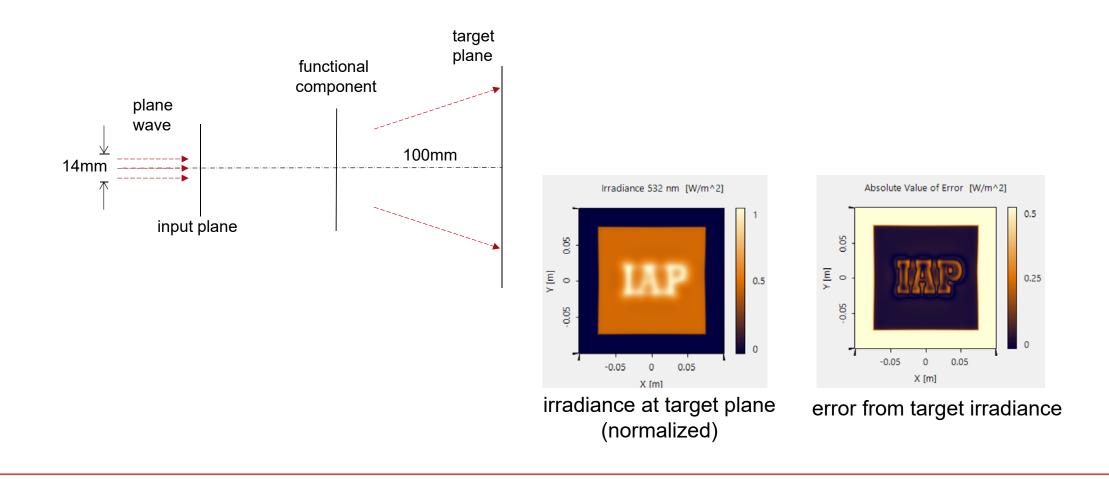
Plane Wave to Far Field Pattern (Non-paraxial)



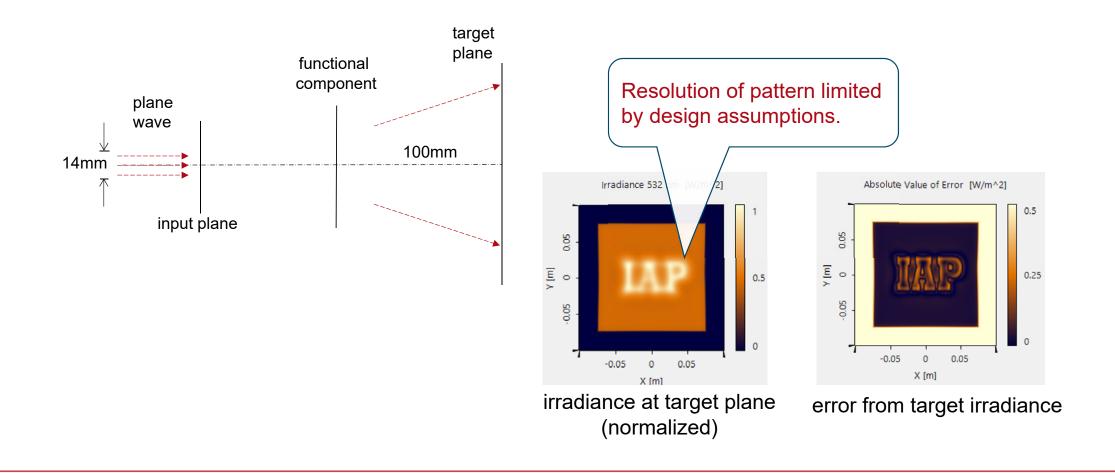
Plane Wave to Far Field Pattern: Functional Design



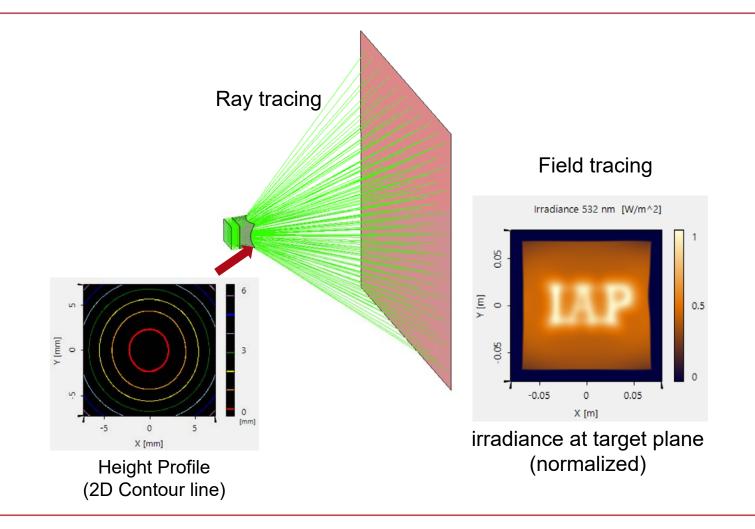
Plane Wave to Far Field Pattern: Functional Design



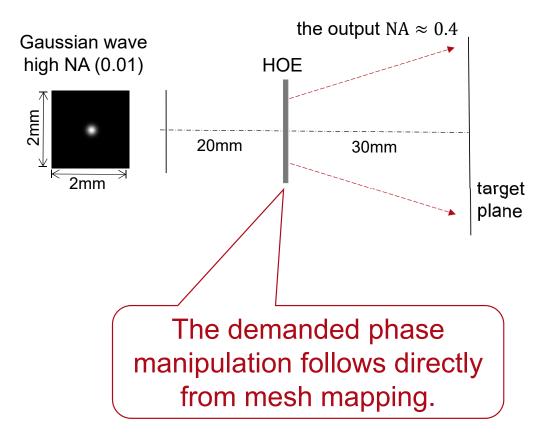
Plane Wave to Far Field Pattern: Functional Design



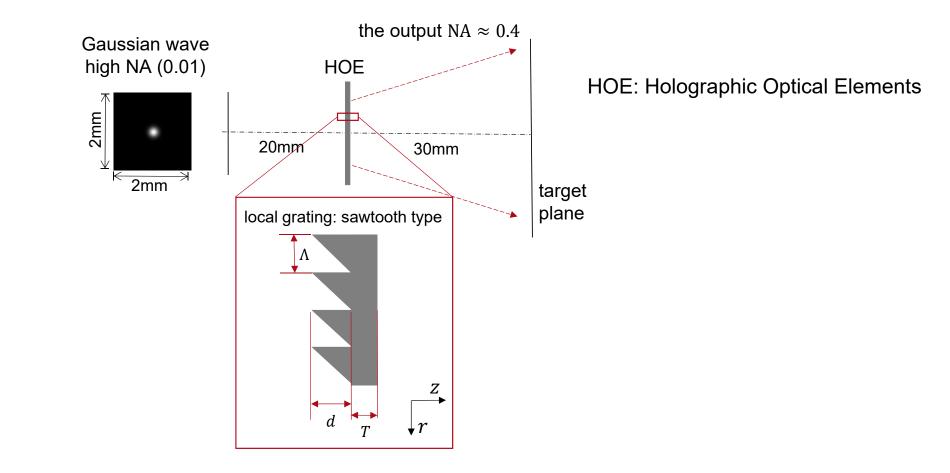
Plane Wave to Far Field Pattern: Freeform Surface



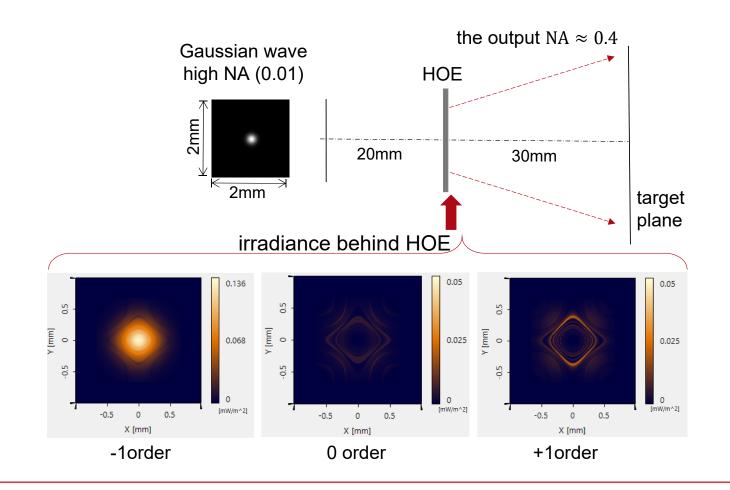
Gaussian to Top-Hat: Functional Design



Gaussian to Top-Hat: Structural Design by HOE

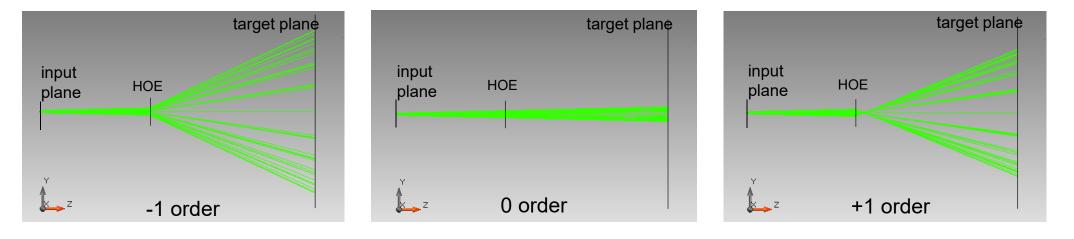


Gaussian to Top-Hat: Structural Design by HOE

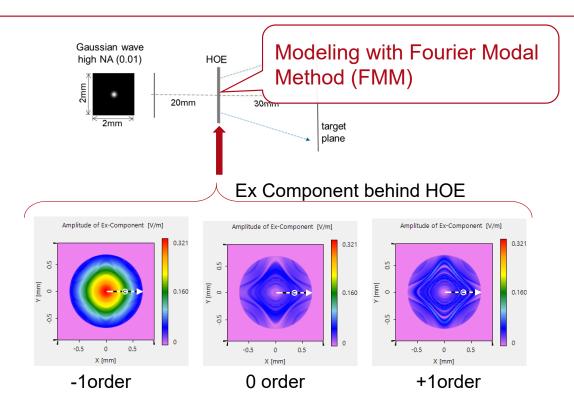


Gaussian to Top-Hat (Non-paraxial): HOE

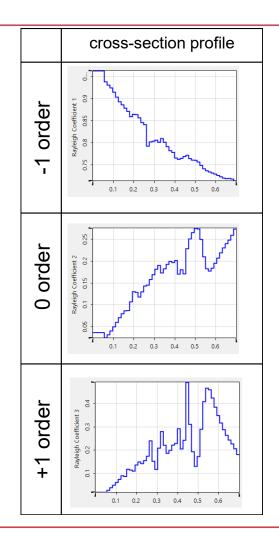
ray tracing result with HOE (with the working order of -1 order)



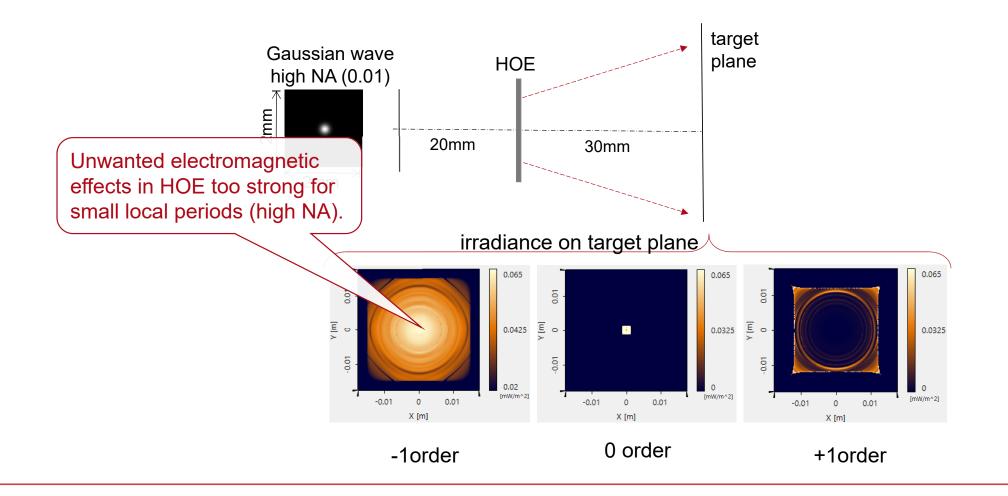
Investigate Rayleigh Coefficient



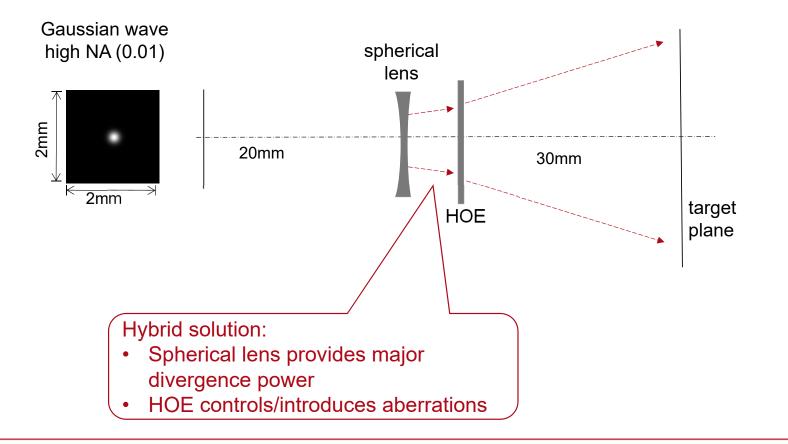
the Rayleight coefficient along the cross-section is compared with the result from Grating Toolbox

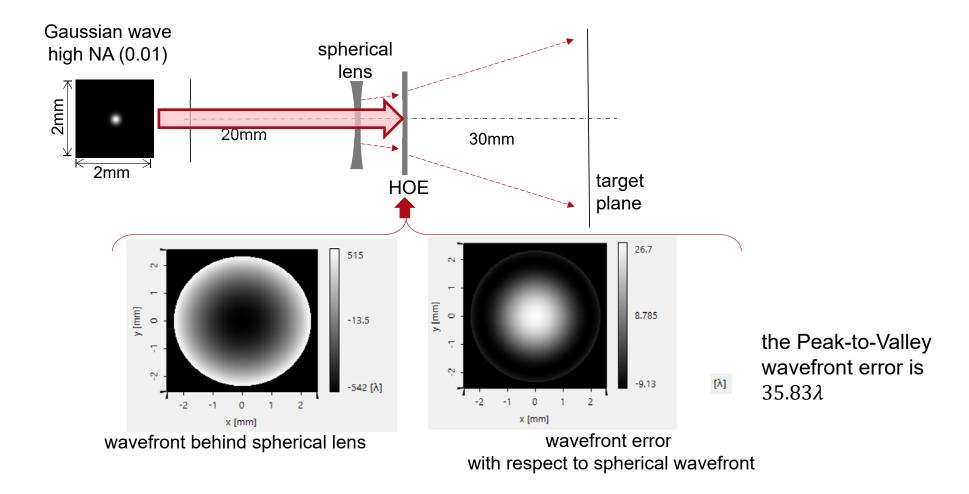


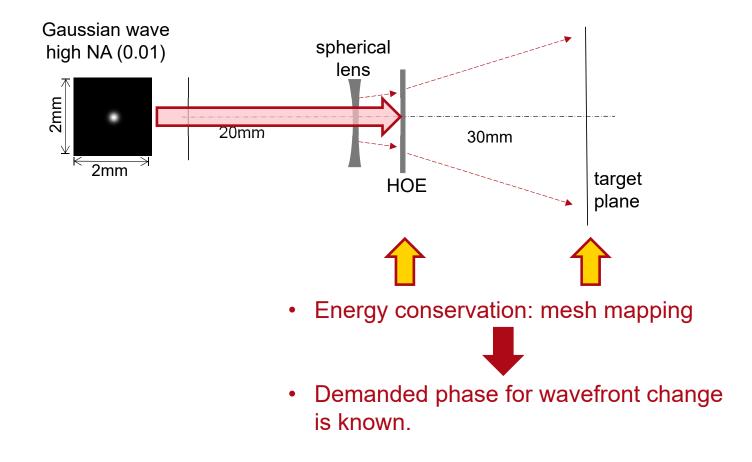
Gaussian to Top-Hat (Non-paraxial): Structural Design by HOE

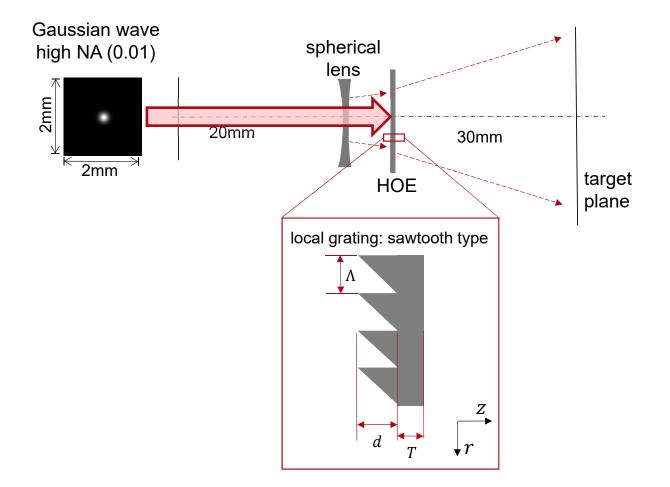


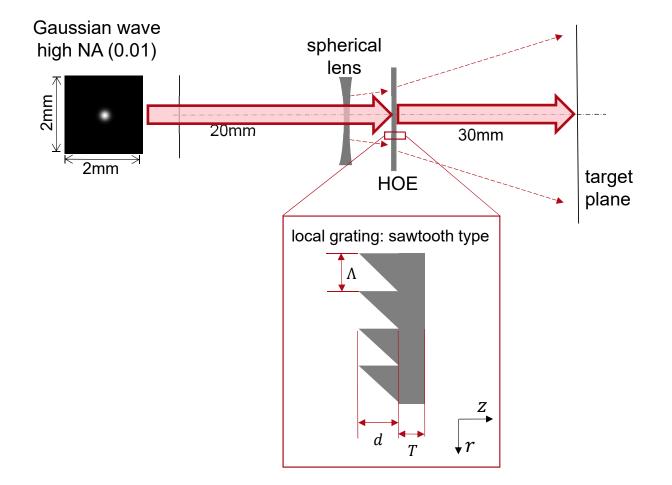
Gaussian to Top-Hat (Non-paraxial): Lens + HOE





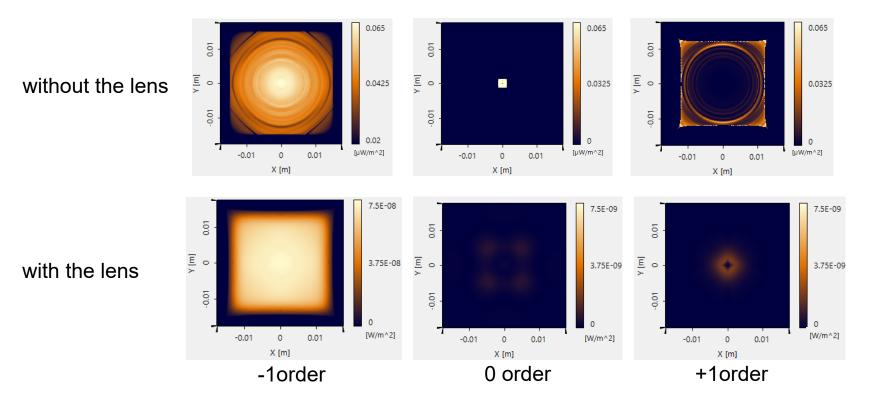






Gaussian to Top-Hat (Non-paraxial): Lens + HOE

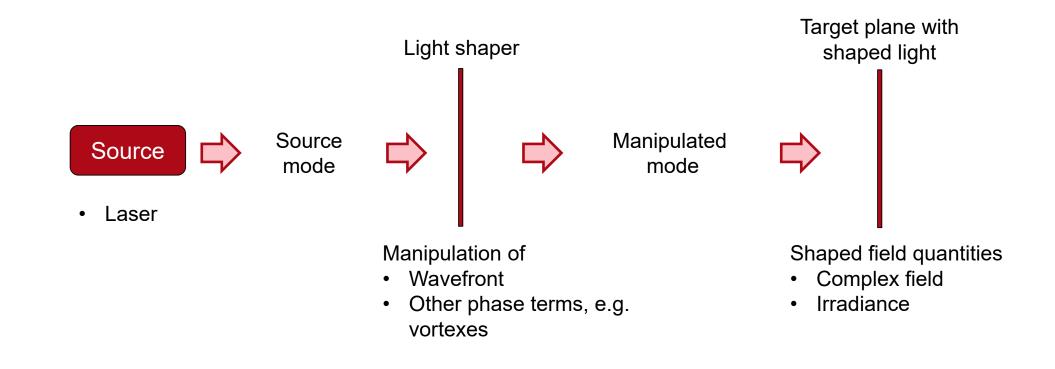
The result irradiance on target plane is compared with the previous case without the lens.



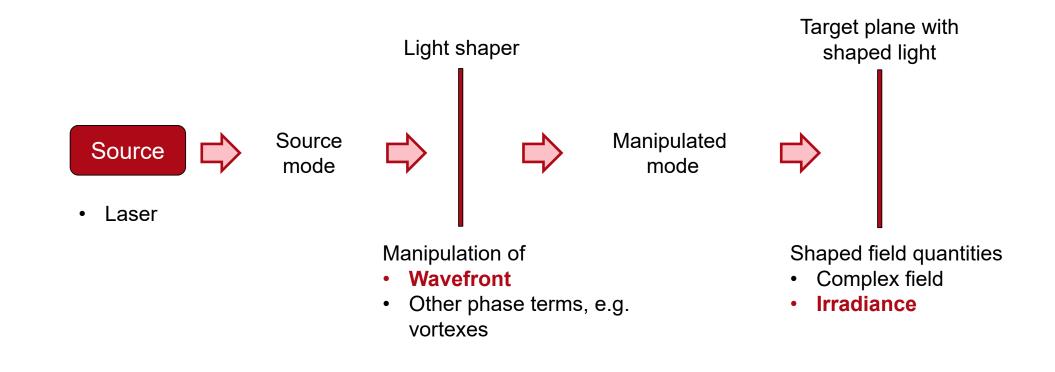
Diffractive light shaping by vortexes

Shaping by diffraction

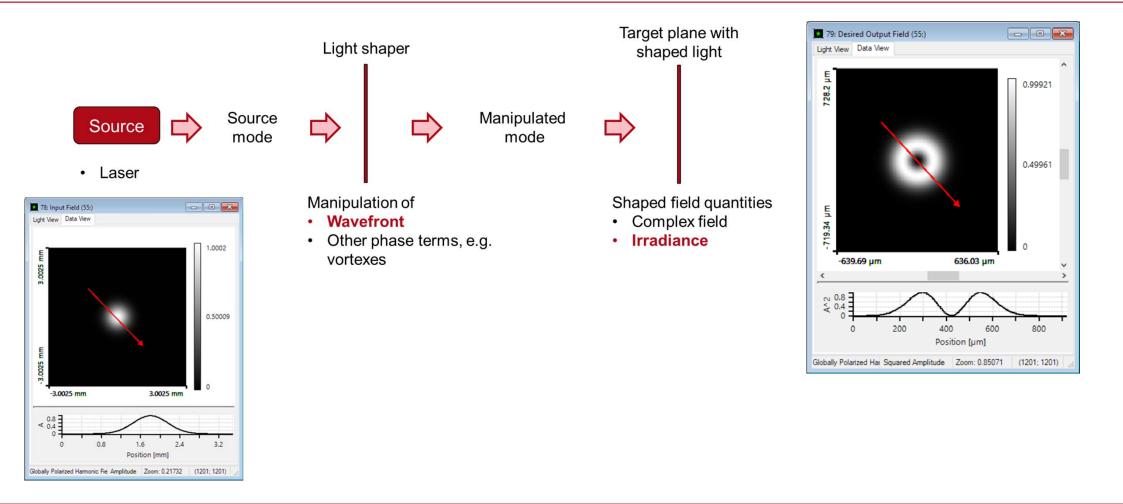
Light Shaping Task: Donut Mode



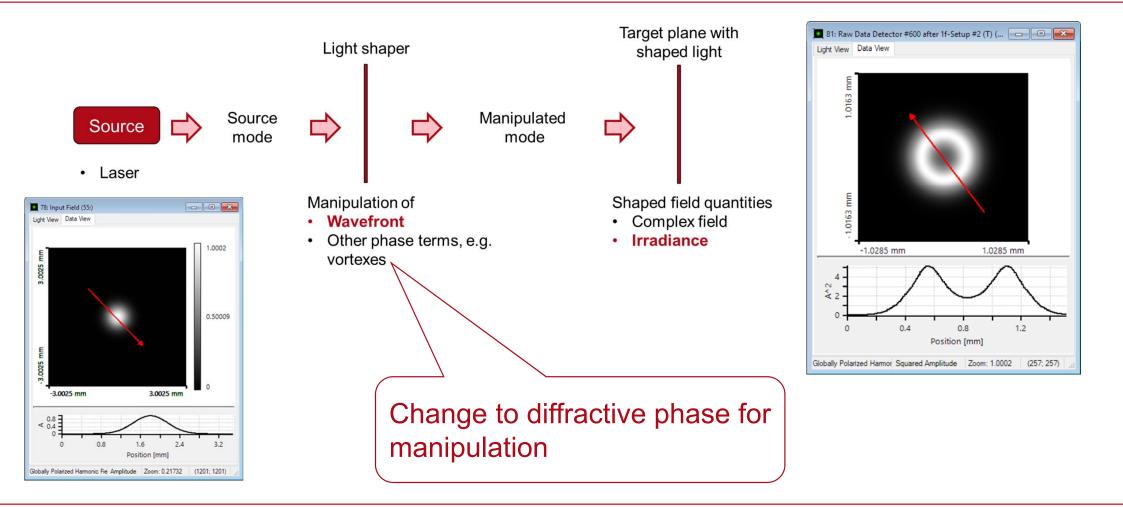
Light Shaping Task: Donut Mode



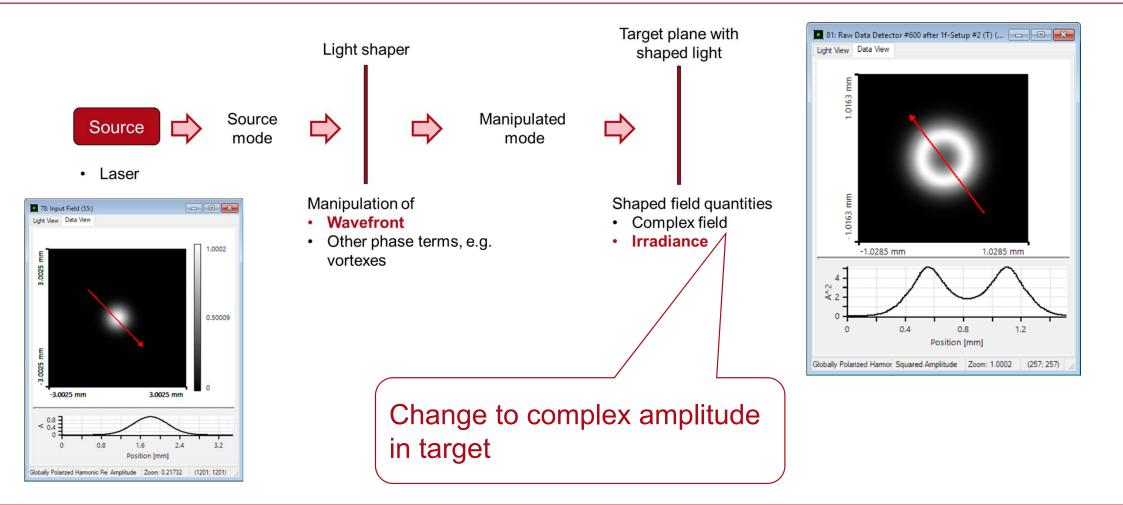
Light Shaping Task: Donut Mode



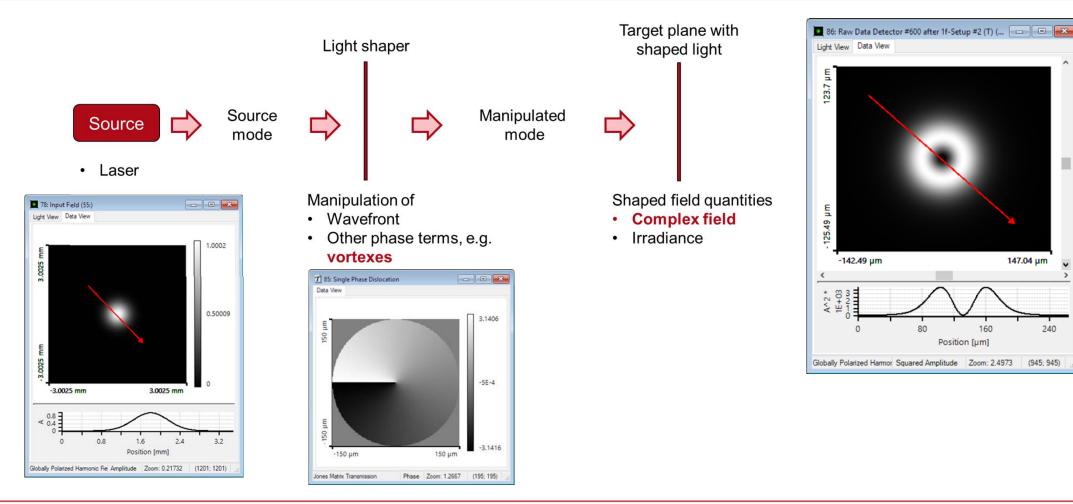
Light Shaping Task: Design by Wavefront Control



Light Shaping Task: General Phase Control



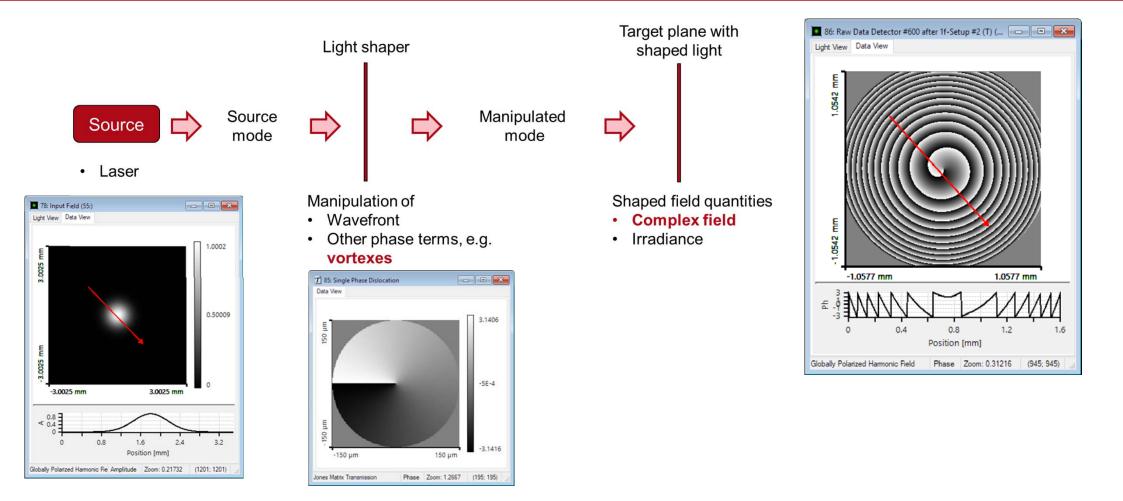
Light Shaping Task: General Phase Control



v

>

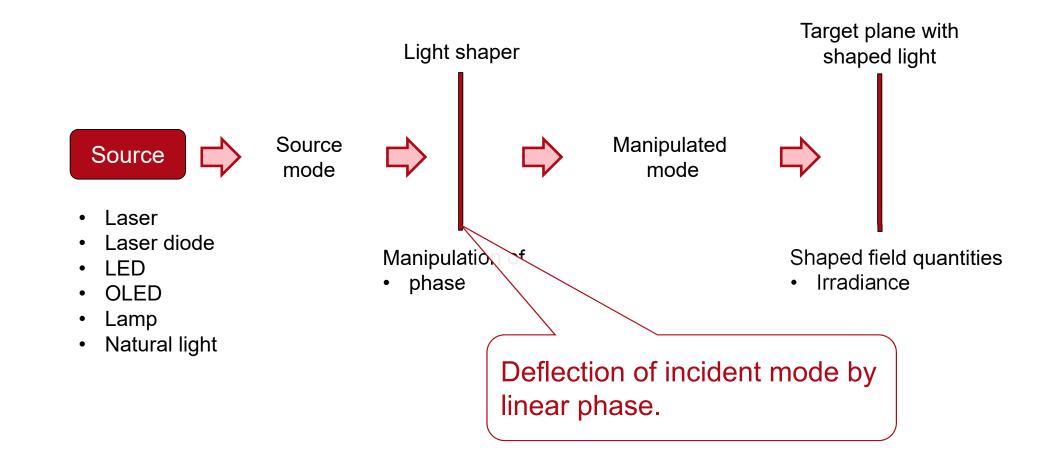
Light Shaping Task: General Phase Control



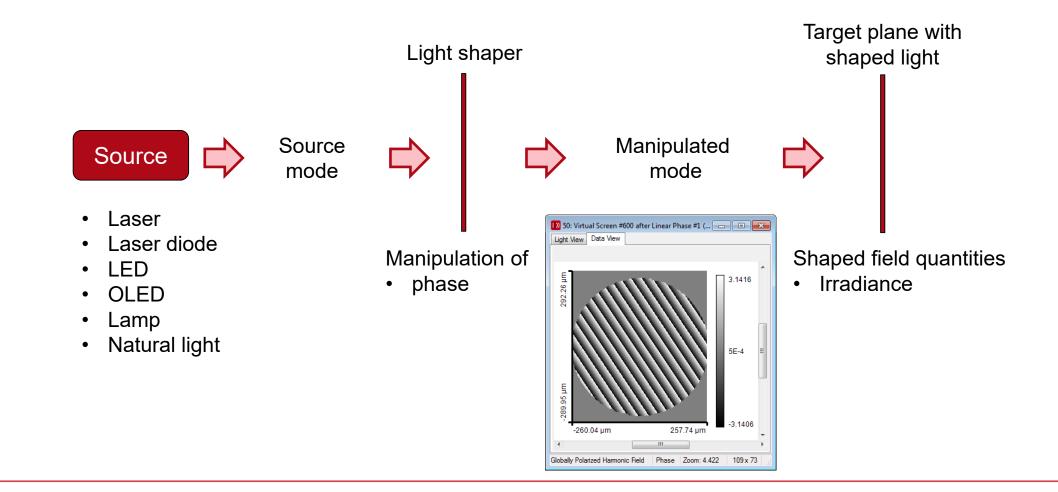
Diffractive light shaping by coherent superposition

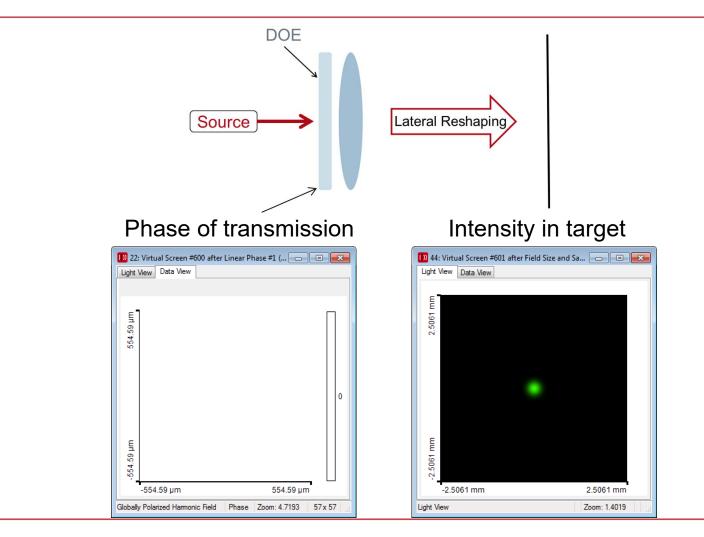
Diffractive beam splitter and diffuser

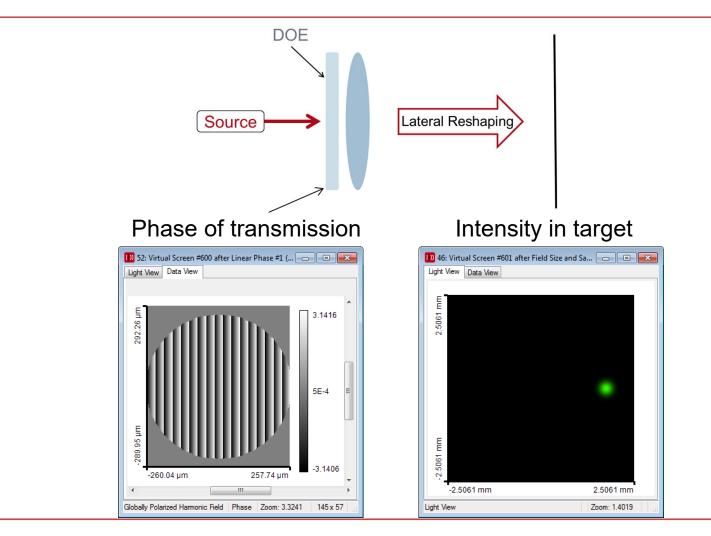
Light Shaping Task: Functional Design

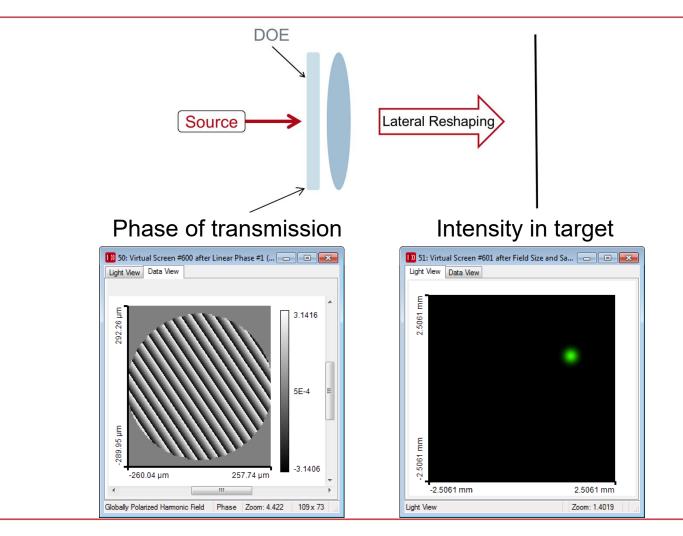


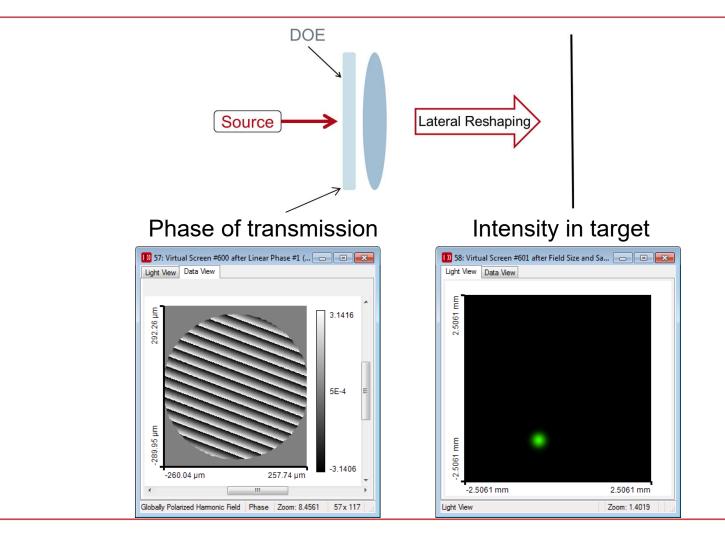
Light Shaping Task: Functional Design

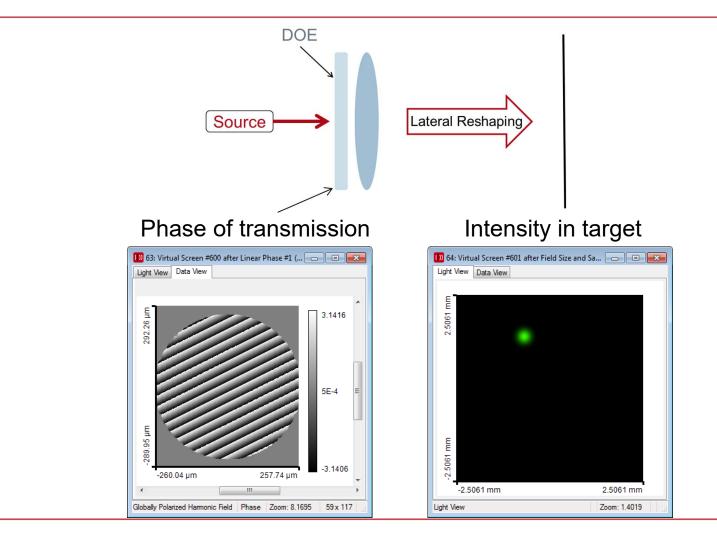




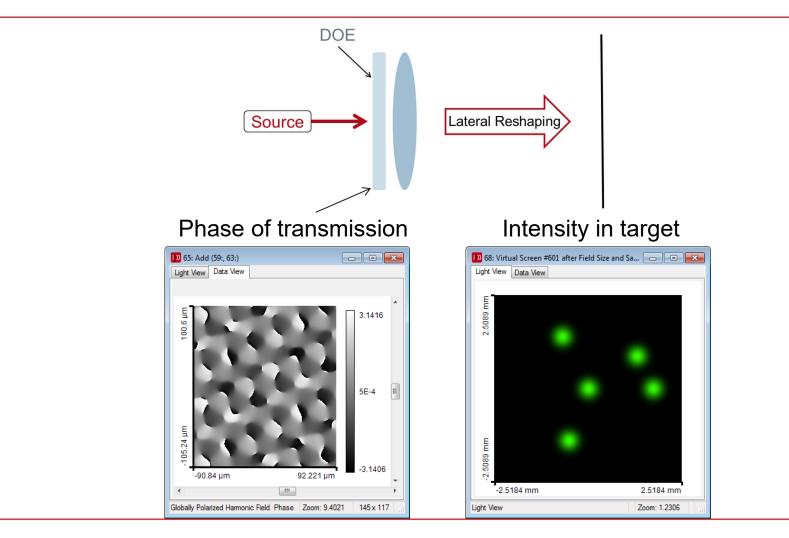




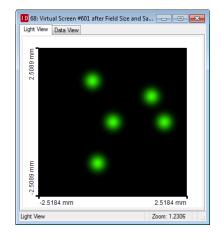


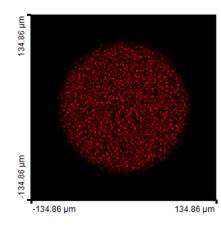


Functional Design: Coherent Sum of Linear Phases



Basic Design Situations: Splitting

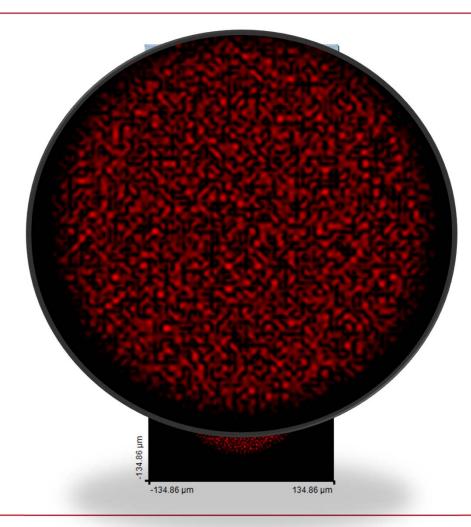




 Spots in target pattern do not overlap: diffractive beam splitter

 Spots overlap in target patten: diffractive diffuser

Basic Design Situations: Splitting



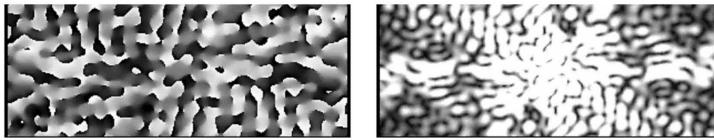
• Spots in target pattern do not overlap: diffractive beam splitter

 Spots overlap in target patten: diffractive diffuser

Shaping by "Beam Scanning"

Phase

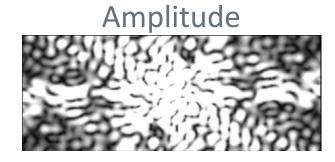
Amplitude

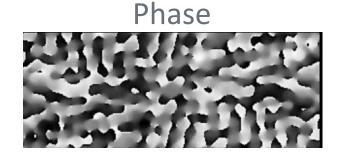


Intensity in Target Plane



Functional Design: Iterative Fourier Transform Algorithm



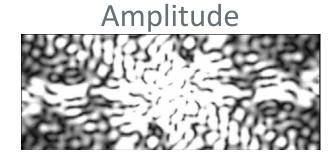


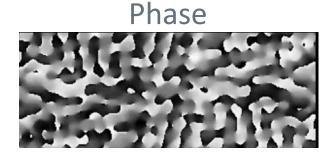


Design technique (IFTA) implemented in VirtualLab

- Satisfaction of phase-only constraint
- Quantization of phase values for lithographic fabrication

Structural Design: Height Profile Calculation

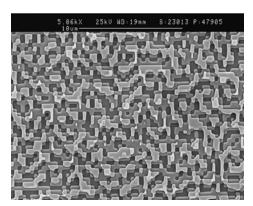




Advanced diffractive optics design techniques



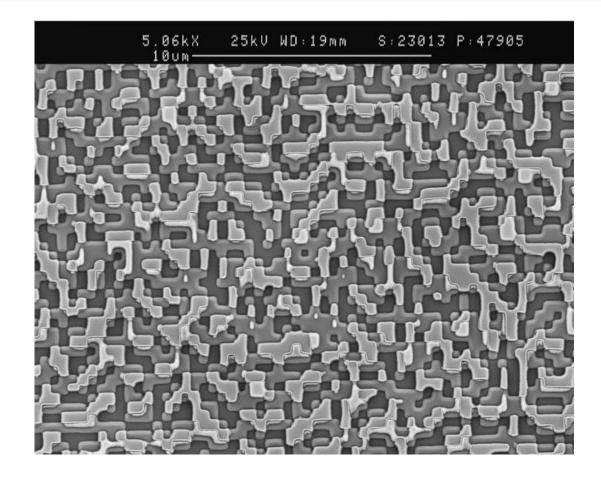
Design technique (IFTA) implemented in VirtualLab



Micro-structured surface profile

Fabricated at IAP, University of Jena

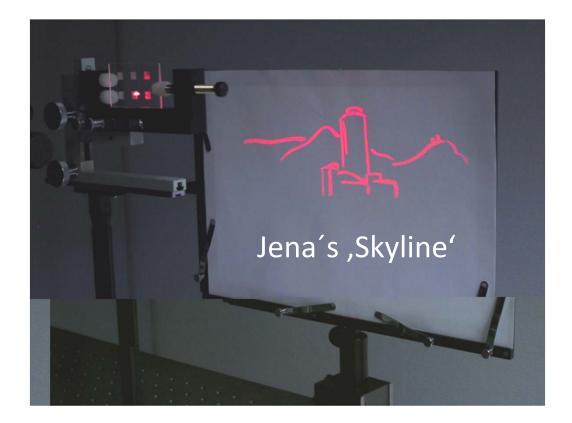
Feature Sizes of Element



Feature size about 400 nm

4 height levels

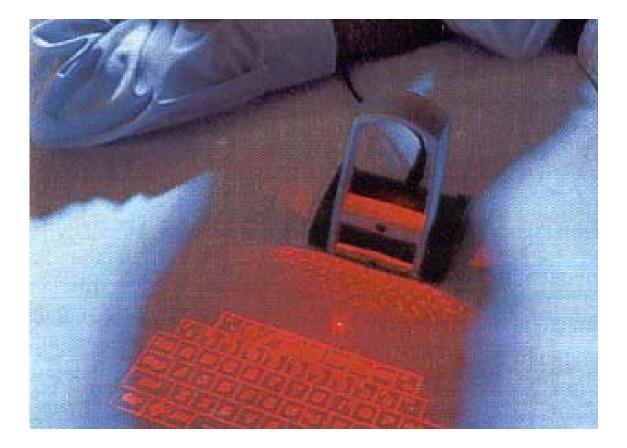
Optical Experiment



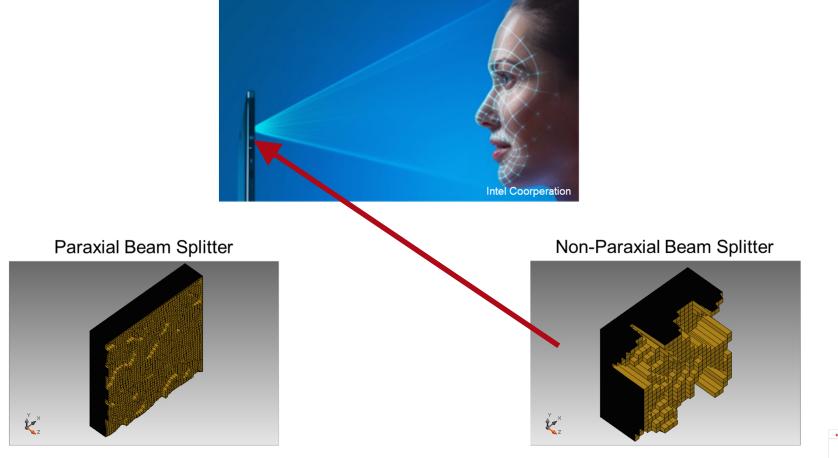
Comments on Diffuser Technology

- Very flexible in light pattern generation
- Robust against adjustment problems
- Coherent light leads to speckle pattern
- Diffusers work for partially coherent beams
- Partially coherent beams smooth the speckle pattern; effect can be simulated with VirtualLab[™]

Illumination: Virtual Keyboard for PDA's

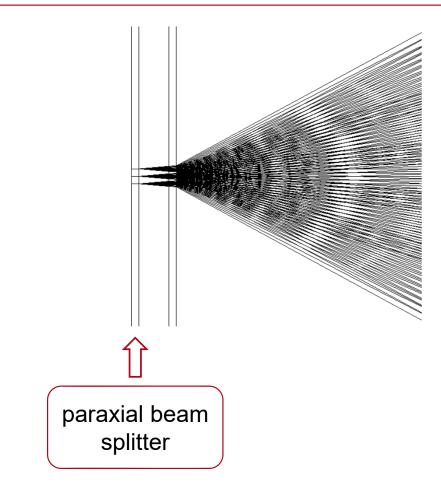


Face ID and General 3D Sensing



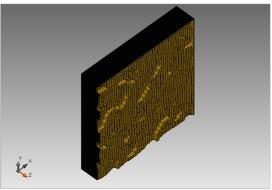


High NA Beam Splitter by Two DOE's

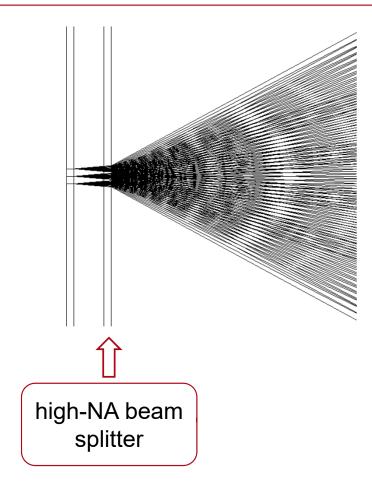


Parameter	Value & Unit
number of orders	11x11
order separation	1x1°
period	30.35x30.35µm
pixel size	690x690nm
discrete height levels	8
material	fused silica

Paraxial Beam Splitter

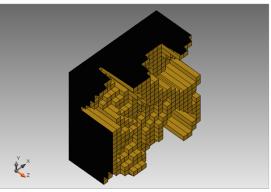


Specification: Second Beam Splitter

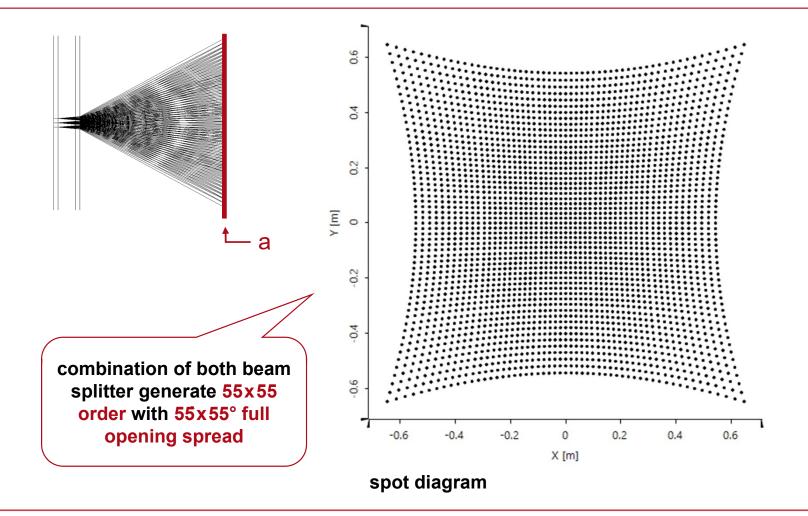


Parameter	Value & Unit
number of orders	5x5
order separation	11x11°
period	2.73 x 2.73 µm
pixel size	130x130nm
discrete height levels	8
material	fused silica

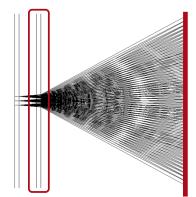
Non-Paraxial Beam Splitter



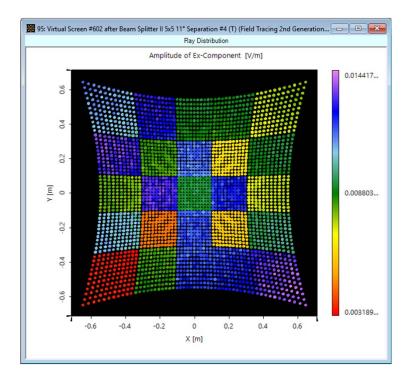
Results: Spot Diagram



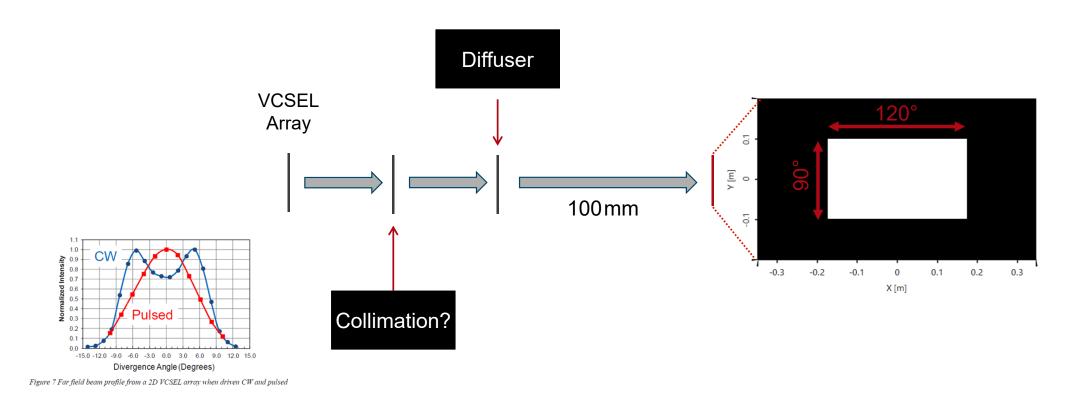
Results: Output Evaluation with FMM



VirtualLab Fusion enables the design and full analysis

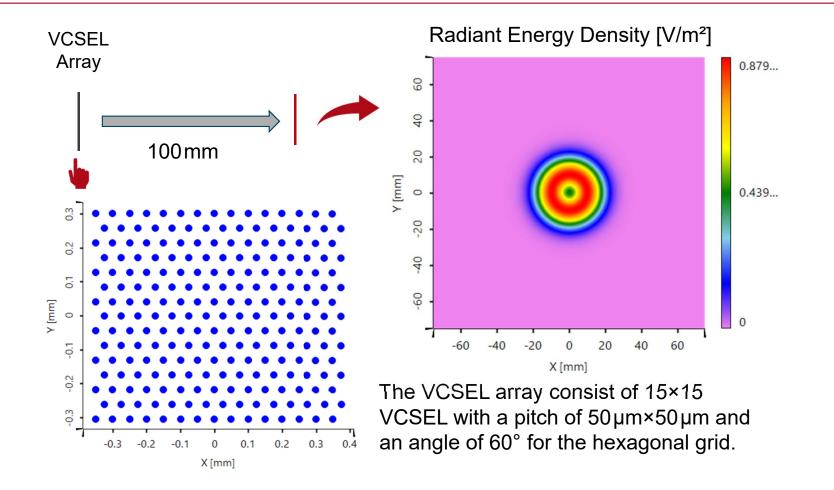


Shaping Radiation of VCSEL Array

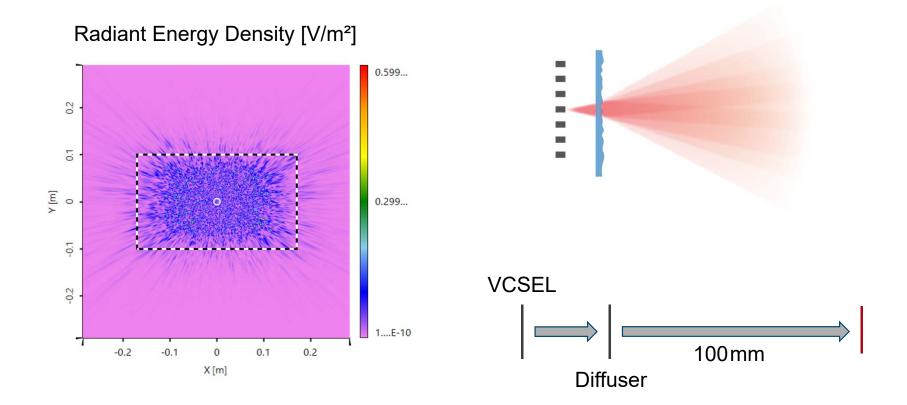




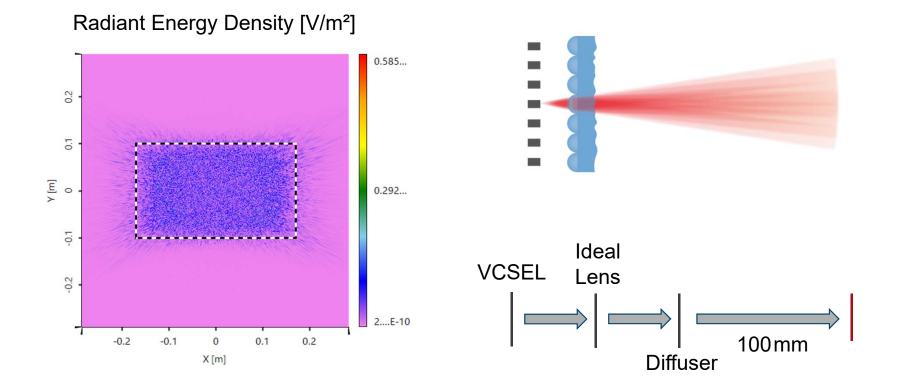
Simulation of a VCSEL Array



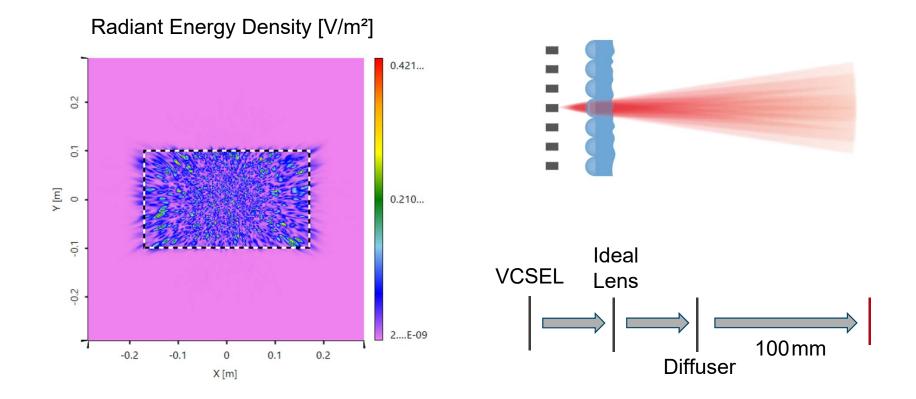
Simulation Result without Collimation



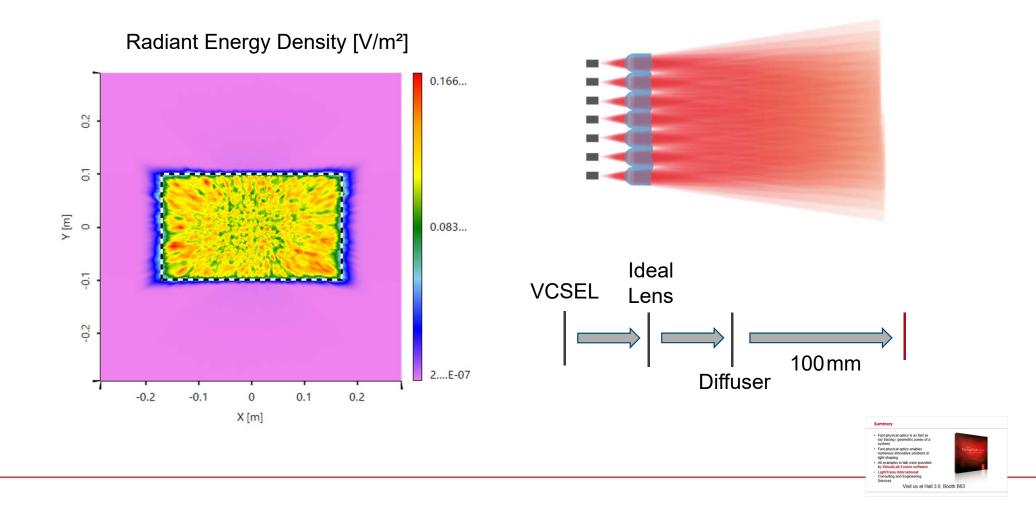
Simulation Result with Collimation (NA 0.12)



Simulation Result with Collimation (NA 0.24)



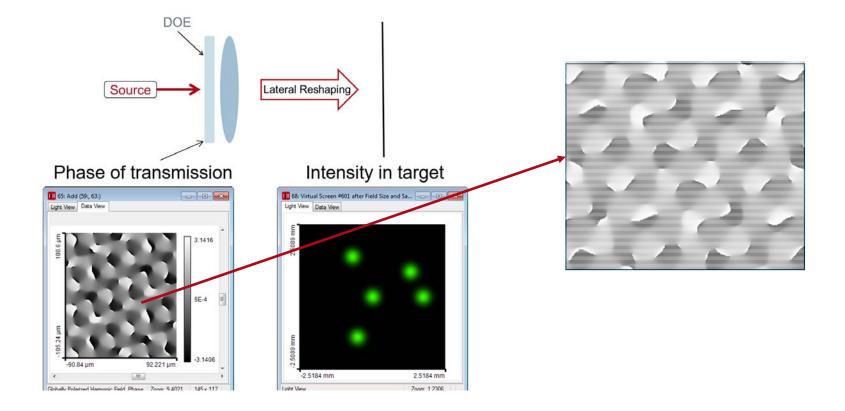
Simulation Result with Collimation (NA 0.24)



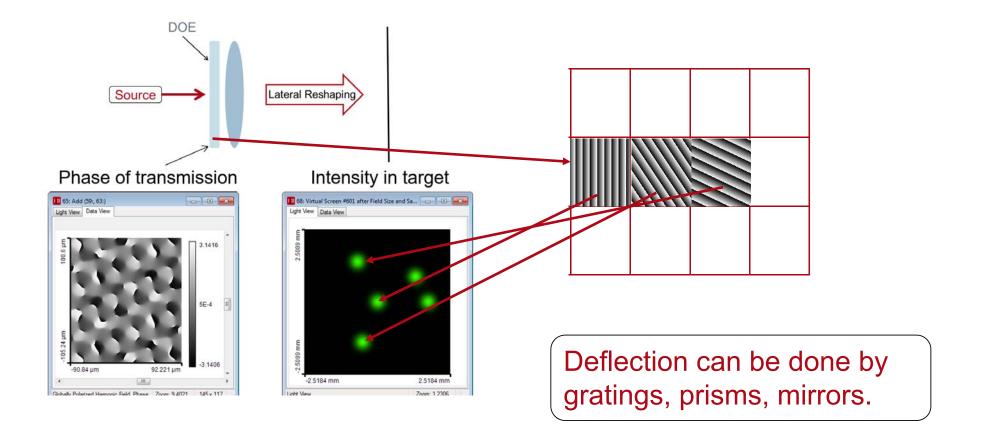
Light shaping by lateral decomposition

Elementary cell array components

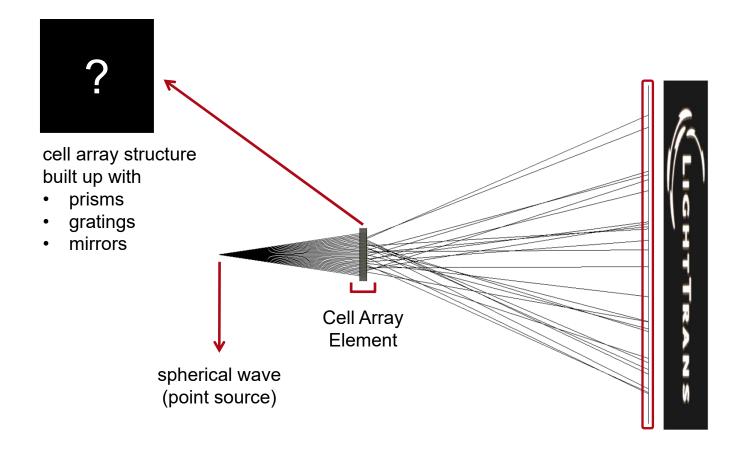
Array of Deflectors



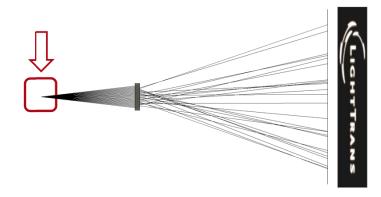
Array of Deflectors



Task/System Illustration

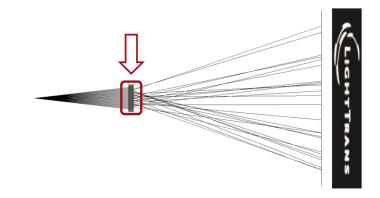


Specification: Light Source



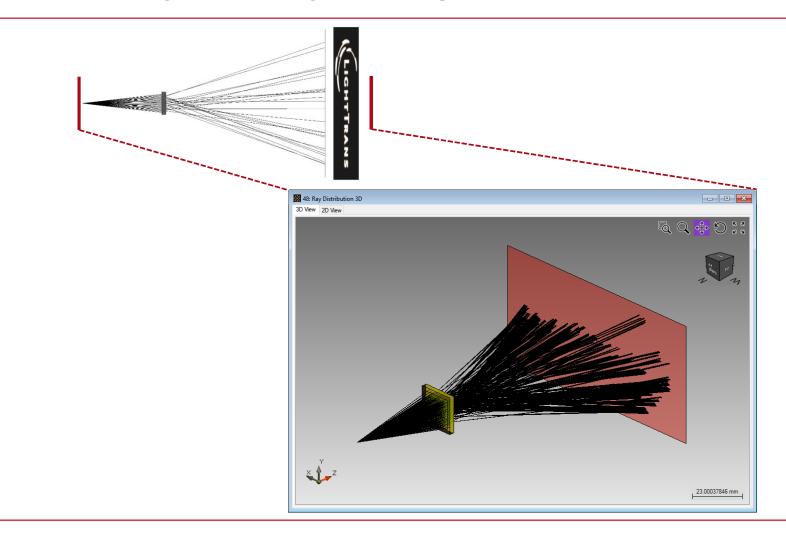
Parameter	Description / Value & Unit
type	RGB LED
emitter size	100x100µm
wavelength	(473, 532, 635)nm
polarization	right circularly polarized light
number of lateral modes	3x3
Total number of lateral and spectral modes	27

Specification: Cell Array

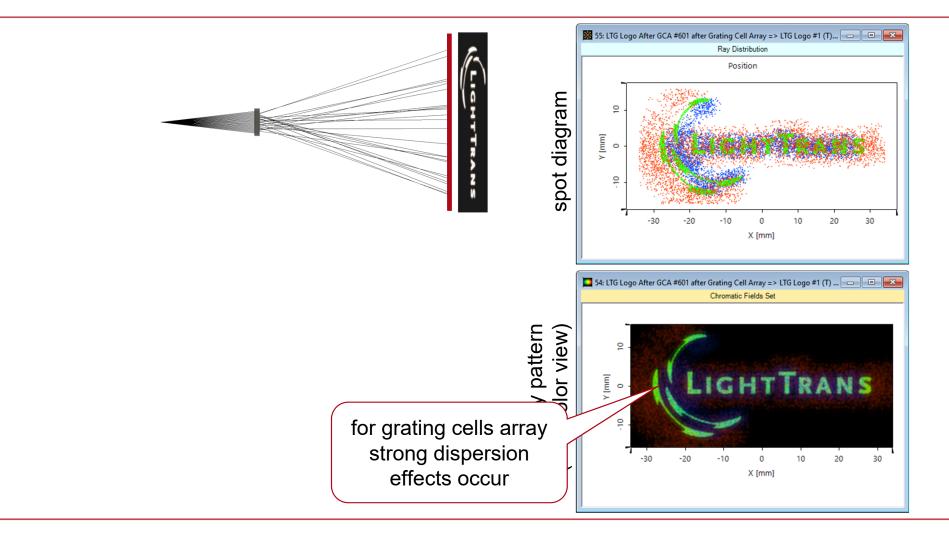


Parameter	Value & Unit
number of cells	100x100
cell size	125x125µm
array aperture	12.5x12.5mm

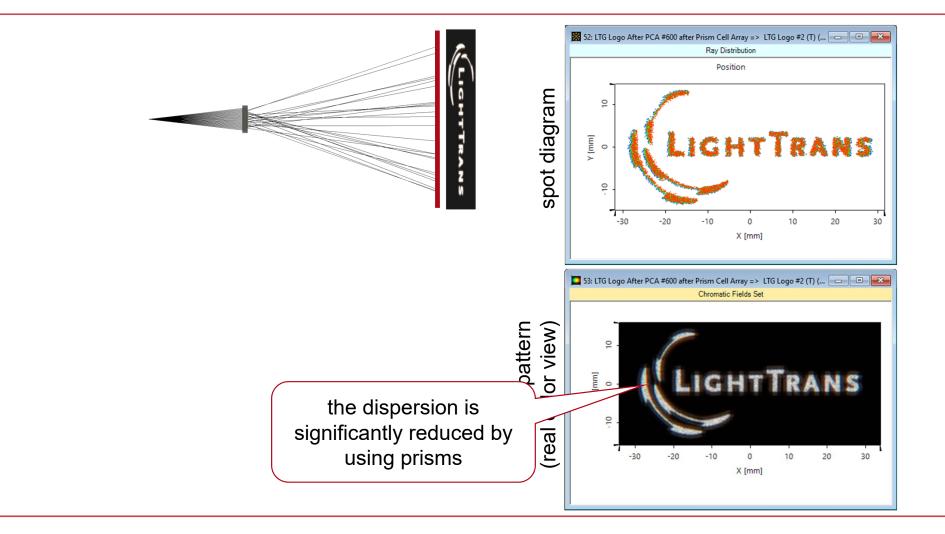
Results: 3D System Ray Tracing



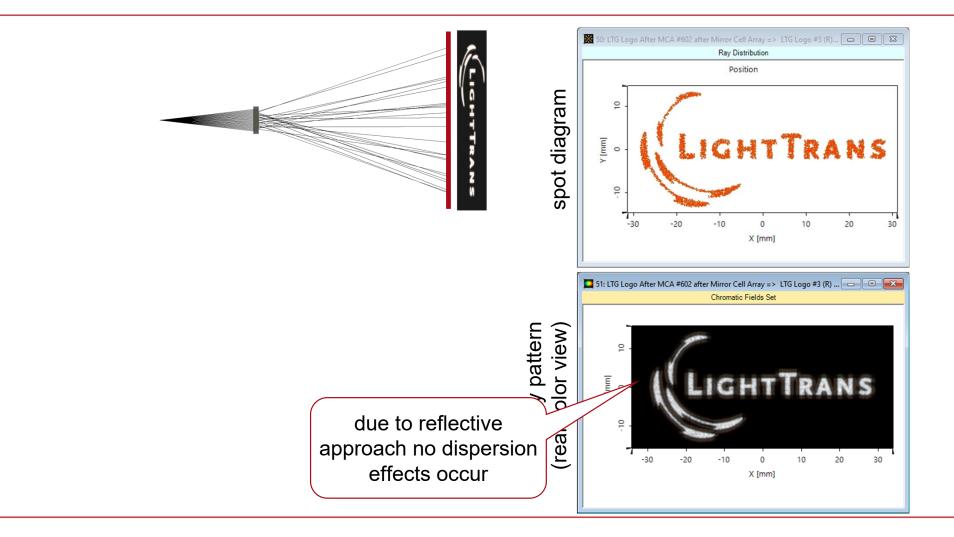
Results: Grating Cells Array



Results: Prism Cells Array



Results: Mirror Cells Array

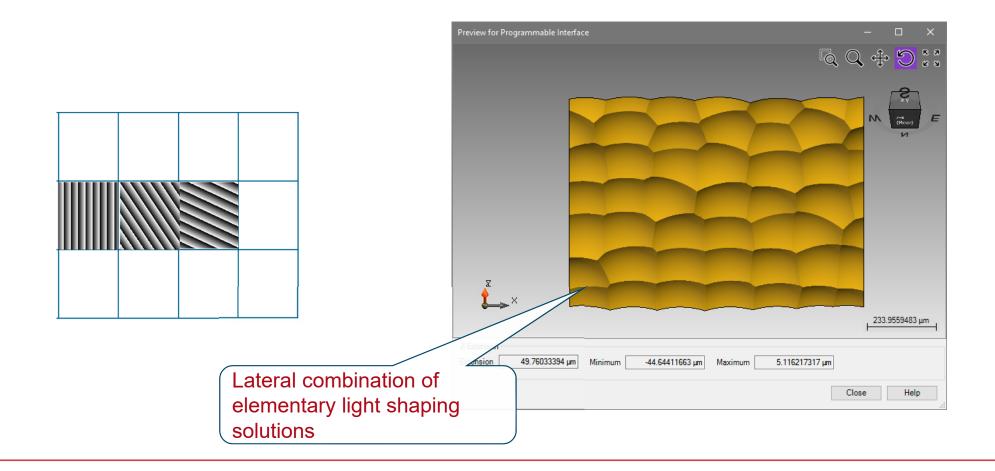


Light shaping by lateral decomposition

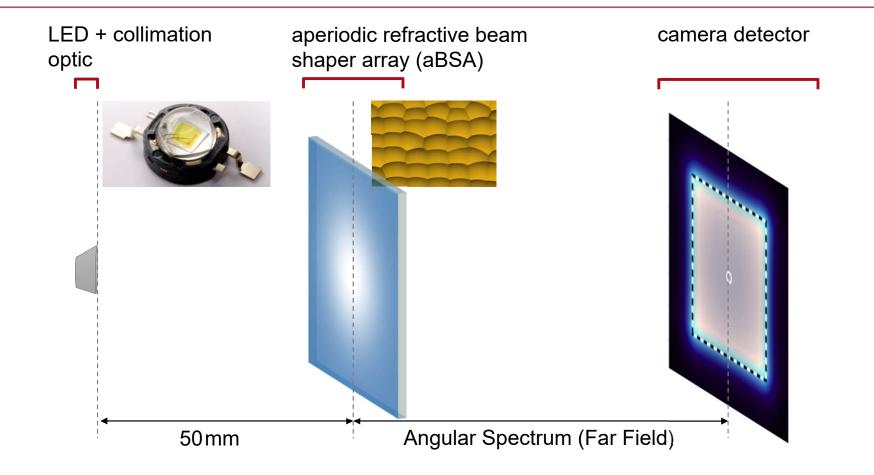
Lens and freeform array components



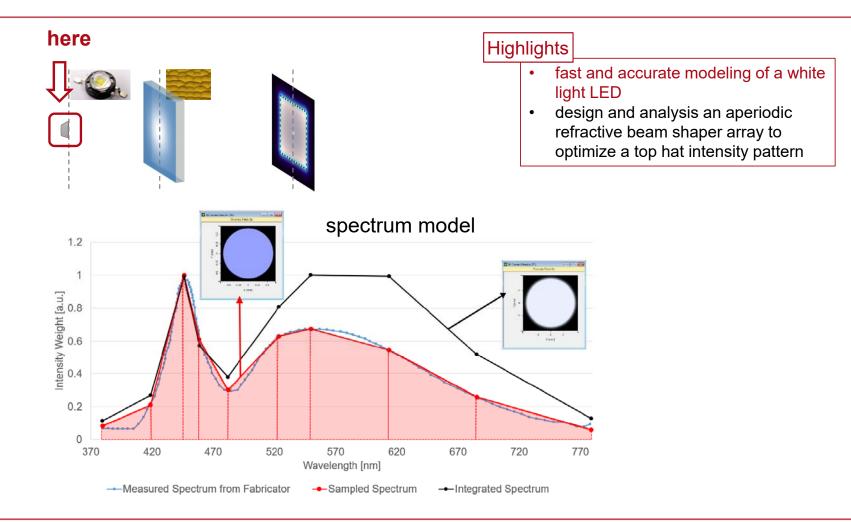
Array of Micro-optical Components



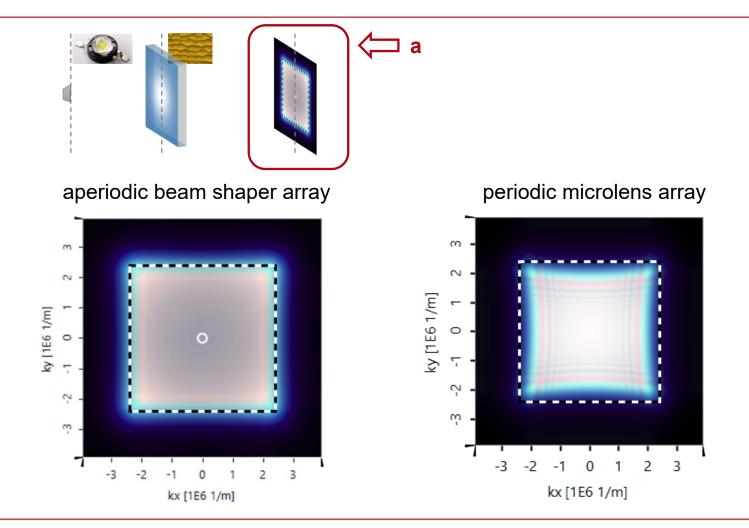
Task/System Illustration



Specs: Light Source

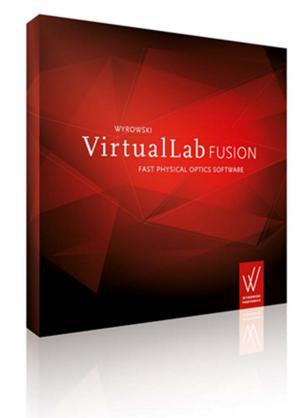


Results: Intensity Pattern (real color view)



Summary

- Fast physical optics is as fast as ray tracing (geometric zones of a system)
- Fast physical optics enables numerous innovative solutions in light shaping.
- All examples in talk were provided by VirtualLab Fusion software.
- LightTrans International: Consulting and Engineering Services



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