

LASYS 2018, 2018-06-05

Optical design of beam delivery systems for cw and pulsed lasers

R. Knoth², C. Hellmann¹, S. Zhang², D. Kühn³, F. Wyrowski³

- ¹Wyrowski Photonics UG
- ²LightTrans International UG
- ³University of Jena, Applied Computational Optics









Table of Content

- 1. Geometric and diffractive branch of physical optics
- 2. Modeling and design of beam delivery systems by physical optics
- 3. Examples

Geometric and diffractive branch of physical optics

The geometric Fourier transform

Physical and Geometrical Optics: Traditional Understanding



Physical and Geometrical Optics: Traditional Understanding



- Physical optics:
 - Light represented by electromagnetic fields which
 - are governed by Maxwell's equations.

- Geometrical/ray optics:
 - Light is represented by mathematical rays (with energy flux) which
 - are governed by Fremat's principle which is mathematically expressed by ray equation.

Physical and Geometrical Optics: Traditional Understanding





- Light represented by electromagnetic fields which
- are governed by Maxwell's equations.



- Geometrical/ray optics:
 - Light is represented by mathematical rays (with energy flux) which
 - are governed by Fremat's principle which is mathematically expressed by ray equation.



- Physical optics:
 - Light represented by electromagnetic fields which
 - are governed by Maxwell's equations.



Example Spherical Field with Stop



Results of Fourier Transform

$$V_{\ell}(\boldsymbol{\rho}, z, \omega) = |V_{\ell}(\boldsymbol{\rho}, z, \omega)| \exp(\mathrm{i}\varphi_{\ell}(\boldsymbol{\rho}, z, \omega)) \left(\exp(\mathrm{i}\psi(\boldsymbol{\rho}, z, \omega))\right)$$

Decreasing radius of cruvature; increasing NA



Results of Fourier Transform



Field Zones by Mathematical Definition

• Geometric Fourier transform valid in specified modeling accuracy

• Field on reference plane is in **geometric field zone**

• Rigorous Fourier transform required in specified modeling accuracy

• Field on reference plane is in diffractive field zone



- Physical optics:
 - Light represented by electromagnetic fields which
 - are governed by Maxwell's equations.



- Physical optics:
 - Light represented by electromagnetic fields which
 - are governed by Maxwell's equations



- Physical optics:
 - Light represented by electromagnetic fields which
 - are governed by Maxwell's equations
 - Transition between diffractive/geometric branch fully specified and controlled by mathematical concept of geometric FT



- Physical optics:
 - Light represented by electromagnetic fields which
 - are governed by Maxwell's equations
 - Transition between diffractive/geometric branch fully specified and controlled by mathematical concept of geometric FT.

Physical optics in geometric zones is at least as fast as ray tracing!





 VirtualLab Fusion manages the transition between diffractive and geometric branches of physical optics automatically (steady development).

Modeling and design of beam delivery systems by physical optics

An introduction to non-sequential field tracing

Physical-Optics System Modeling: Regional Maxwell Solver



In Fast Physical Optics we comply with the following strategies:

1. Tearing: The optical system is decomposed into various regions in which different types of specialized Maxwell solvers are applied.

Physical-Optics System Modeling: Regional Maxwell Solver



In **Fast Physical Optics** we comply with the following strategies:

- 1. Tearing: The optical system is decomposed into various regions in which different types of specialized Maxwell solvers are applied.
- 2. Interconnection: The solutions per region are connected through non-sequential field tracing to solve Maxwell's equations in the entire system.

Non-Sequential Optical Field Tracing

Michael Kuhn, Frank Wyrowski, and Christian Hellmann

Kuhn, M.; Wyrowski, F. & Hellmann, C. (2012), Nonsequential optical field tracing, *in* T. Apel & O. Steinbach, ed., 'Finite Element Methods and Applications', Springer-Verlag, Berlin, , pp. 257-274.







$$\tilde{V}^{\text{out}}(\boldsymbol{\kappa}, z) = \tilde{V}^{\text{in}}(\boldsymbol{\kappa}, z_0) \times \exp\left(\mathrm{i}\check{k}_z(\boldsymbol{\kappa})\Delta z\right)$$

$$\tilde{\mathcal{P}}$$

Field Tracing Operators: Space- and k-Domain

• The free-space operator equation is given by

$$\boldsymbol{E}^{\rm out}_{\perp}(\boldsymbol{\rho},\omega) = \boldsymbol{\mathcal{P}}\boldsymbol{E}^{\rm in}_{\perp}(\boldsymbol{\rho},\omega)$$

and in matrix form by

$$\begin{pmatrix} E_x^{\text{out}}(\boldsymbol{\rho},\omega)\\ E_y^{\text{out}}(\boldsymbol{\rho},\omega) \end{pmatrix} = \begin{pmatrix} \mathcal{P} & 0\\ 0 & \mathcal{P} \end{pmatrix} \begin{pmatrix} E_x^{\text{in}}(\boldsymbol{\rho},\omega)\\ E_y^{\text{in}}(\boldsymbol{\rho},\omega) \end{pmatrix} \,.$$

• The free-space operator equation in *k*-domain is given by

$$\tilde{E}_{\perp}^{\mathrm{out}}(\kappa,\omega) = \tilde{\mathcal{P}}\tilde{E}_{\perp}^{\mathrm{in}}(\kappa,\omega)$$

with $\kappa = (k_x, k_y)$. In matrix form we have

$$\begin{pmatrix} \tilde{E}_{x}^{\text{out}}(\boldsymbol{\kappa},\omega)\\ \tilde{E}_{y}^{\text{out}}(\boldsymbol{\kappa},\omega) \end{pmatrix} = \begin{pmatrix} \tilde{\mathcal{P}} & 0\\ 0 & \tilde{\mathcal{P}} \end{pmatrix} \begin{pmatrix} \tilde{E}_{x}^{\text{in}}(\boldsymbol{\kappa},\omega)\\ \tilde{E}_{y}^{\text{in}}(\boldsymbol{\kappa},\omega) \end{pmatrix} \,.$$



 $(\boldsymbol{\rho},t)$

Field Tracing Operators: Space- and k-Domain

• The free-space operator equation is given by

$$\boldsymbol{E}_{\perp}^{\rm out}(\boldsymbol{\rho},\omega) = \boldsymbol{\mathcal{P}}\boldsymbol{E}_{\perp}^{\rm in}(\boldsymbol{\rho},\omega)$$

and in matrix form by

$$\begin{pmatrix} E_x^{\text{out}}(\boldsymbol{\rho},\omega)\\ E_y^{\text{out}}(\boldsymbol{\rho},\omega) \end{pmatrix} = \begin{pmatrix} \mathcal{P} & 0\\ 0 & \mathcal{P} \end{pmatrix} \begin{pmatrix} E_x^{\text{in}}(\boldsymbol{\rho},\omega)\\ E_y^{\text{in}}(\boldsymbol{\rho},\omega) \end{pmatrix} \,.$$

• Analogously we have for the *B*-operator

$$E_{\perp}^{\text{out}}(\boldsymbol{\rho},\omega) = \mathcal{B}E_{\perp}^{\text{in}}(\boldsymbol{\rho},\omega)$$

and in matrix form

$$\begin{pmatrix} E_x^{\text{out}}(\boldsymbol{\rho},\omega) \\ E_y^{\text{out}}(\boldsymbol{\rho},\omega) \end{pmatrix} = \begin{pmatrix} \mathcal{B}_{xx} & \mathcal{B}_{xy} \\ \mathcal{B}_{yx} & \mathcal{B}_{yy} \end{pmatrix} \begin{pmatrix} E_x^{\text{in}}(\boldsymbol{\rho},\omega) \\ E_y^{\text{in}}(\boldsymbol{\rho},\omega) \end{pmatrix}.$$

• The free-space operator equation in *k*-domain is given by

$$\tilde{E}_{\perp}^{\mathrm{out}}(\kappa,\omega) = \tilde{\mathcal{P}}\tilde{E}_{\perp}^{\mathrm{in}}(\kappa,\omega)$$

with $\kappa = (k_x, k_y)$. In matrix form we have

$$\begin{pmatrix} \tilde{E}_{\chi}^{\text{out}}(\boldsymbol{\kappa},\omega)\\ \tilde{E}_{y}^{\text{out}}(\boldsymbol{\kappa},\omega) \end{pmatrix} = \begin{pmatrix} \tilde{\mathcal{P}} & 0\\ 0 & \tilde{\mathcal{P}} \end{pmatrix} \begin{pmatrix} \tilde{E}_{\chi}^{\text{in}}(\boldsymbol{\kappa},\omega)\\ \tilde{E}_{y}^{\text{in}}(\boldsymbol{\kappa},\omega) \end{pmatrix} \,.$$

• Analogously we have for the *B*-operator

$$\tilde{E}_{\perp}^{\rm out}(\kappa,\omega) = \tilde{\mathcal{B}}\tilde{E}_{\perp}^{\rm in}(\kappa,\omega)$$

and in matrix form

$$\begin{pmatrix} \tilde{E}_{x}^{\text{out}}(\boldsymbol{\kappa},\omega)\\ \tilde{E}_{y}^{\text{out}}(\boldsymbol{\kappa},\omega) \end{pmatrix} = \begin{pmatrix} \tilde{\mathcal{B}}_{xx} & \tilde{\mathcal{B}}_{xy}\\ \tilde{\mathcal{B}}_{yx} & \tilde{\mathcal{B}}_{yy} \end{pmatrix} \begin{pmatrix} \tilde{E}_{x}^{\text{in}}(\boldsymbol{\kappa},\omega)\\ \tilde{E}_{y}^{\text{in}}(\boldsymbol{\kappa},\omega) \end{pmatrix}$$

Bidirectional Operator: k-Domain

Bidirectional operator Generalization of Bidirectional Scattering Distribution Function (BSDF).

• For the bidirectional operator in *k*-domain we trix element the integral of the form

$$\tilde{V}^{\text{out}}(k_x, k_y) = \int_{K^2} \tilde{B}(k_x, k_y, k'_x, k'_y) \tilde{V}^{\text{in}}(k'_x, k'_y) \, \mathrm{d}k'_x \, \mathrm{d}k'_y \, .$$

with he integral kernel $\tilde{B}(k_x, k_y, k'_x, k'_y)$.

- Since $\tilde{V}^{in}(k'_x, k'_y)$ can be understood as a decomposition into (inhomogeneous) plane waves, the bidirectional operator provides the plane-waves response on one incident plane wave.
- The integral operator reduces to a multiplication for stratified media and gratings per order (with *k*-value mapping).













 $(\boldsymbol{\rho},t)$



Modeling and Design of Optical Systems by Physical Optics



Modeling and Design of Optical Systems by Physical Optics

- Compared to ray tracing You do not lose anything by fast physical optics
- Ray tracing is included in VirtualLab Fusion software on a solid base knowing about limitations of ray optics
- By going beyond ray tracing
 - You win more information about the light in your system
 - You get better insight into the performance of your system
 - You can include and investigate more effects
 - You can model with higher accuracy
 - You are ready for new optical design concepts and by that for innovative optical solutions





Example

Focusing Properties inside Crystal

Example – Focusing Properties inside Crystal

Many laser crystals are made out of birefringent materials whose optical properties depends strongly on the polarization of light and the orientation of the crystal



Field Tracing Diagram



Simulation Results

• Light distribution at different depth (o.a. along y direction)



M. Jain et al., J. Opt. Soc. Am. A 26, 691-698 (2009)

Simulation Results

• Light distribution at different depth (o.a. along x direction)



M. Jain et al., J. Opt. Soc. Am. A 26, 691-698 (2009)





Focusing of an high-NA laser diode

Modeling Task



What is the field in focal region behind an aspherical lens? Especially, the astigmatism of the laser diode must be taken into account.

Results – 3D Ray Tracing

• Ray tracing – system in 3D space



Results – Intensity at Focal Plane



Physical-optics simulation of whole system, including collimation and focusing lenses, takes only 2 seconds!

Results – Intensity in Focal Region (without Astigmatism)



Results – Intensity in Focal Region (with Astigmatism)



z [m]

Results – Beam Diameter in Focal Region

• Field tracing





Example

Focusing of a pulse by an off-axis parabolic mirror

Modeling Task



Spectral and Temporal Amplitudes at Input Plane



Spectral and Temporal Amplitudes at Output Plane



Spatial Distribution at Output Plane



Summary

- Fast physical optics is as fast as ray tracing (geometric zones of a system)
- Fast physical optics enables numerous innovative solutions in light shaping.
- All examples in talk were provided by VirtualLab Fusion software.
- LightTrans International: Consulting and Engineering Services

Hall 4, Booth 4B71.1





